22 VAC 30-20-10. Definitions.

The following words and terms, when used in this chapter, shall have the following meanings, unless the context clearly indicates otherwise.

"Act" means the Rehabilitation Act of 1973 (29 U.S.C. 701 et seq.), as amended.

"Applicant" means an individual who submits an application for vocational rehabilitation services.

"Appropriate modes of communication" means specialized aids and supports that enable an individual with a disability to comprehend and respond to information that is being communicated. Appropriate modes of communication include, but are not limited to, the use of interpreters, open and closed captioned videos, specialized telecommunications services and audio recordings, Brailled and large print materials, materials in electronic formats, augmentative communication devices, graphic presentations, and simple language materials.

"Assistive technology" means any item, piece of equipment, or product system, whether acquired commercially off the shelf, modified, or customized, that is used to increase, maintain, or improve the functional capabilities of an individual with a disability.

Assistive technology service means any service that directly assists an individual with a disability in the selection, acquisition, or use of an assistive technology device including:

- 1. The evaluation of the needs of an individual with a disability, including a functional evaluation of the individual in his or her customary environment;
- 2. Purchasing, leasing, or otherwise providing for the acquisition by an individual with a disability of an assistive technology device;
- Selecting, designing, fitting, customizing, adapting, applying, maintaining,
 repairing, or replacing assistive technology devices;
- 4. Coordinating and using other therapies, interventions, or services with assistive technology devices, such as those associated with existing education and rehabilitation plans and programs.
- 5. Training or technical assistance for an individual with a disability, or, if appropriate, the family members, guardians, advocates, or authorized representatives of the individual; and
- 6. Training or technical assistance for professionals (including individuals providing education and rehabilitation services), employers, or others who

VIRGINIA DEPARTMENT OF REHABILITATIVE SERVICES

PROVISION OF VOCATIONAL REHABILITATION SERVICES

provide services to, employ, or are otherwise substantially involved in the major

life functions of individuals with disabilities, to the extent that training or

technical assistance is necessary to the achievement of an employment outcome

by an individual with a disability

"Audiological examination" means the testing of the sense of hearing.

"Board" means the Board of Rehabilitative Services.

"Client" means any person receiving a service provided by the Department of Rehabilitative Services, whether referred to as a client, participant, patient, resident, or other term.

"Commissioner" means the Commissioner of the Department of Rehabilitative Services.

"Community rehabilitation program" means a program that provides directly or facilitates
the provision of one or more of the following vocational rehabilitation services to individuals
with disabilities to enable those individuals to maximize their opportunities for employment,
including career advancement:

- Medical, psychiatric, psychological, social, and vocational services that are provided under one management;
- 2. Testing, fitting, or training in the use of prosthetic and orthotic devices;

VIRGINIA DEPARTMENT OF REHABILITATIVE SERVICES

PROVISION OF VOCATIONAL REHABILITATION SERVICES

- 3. Recreational therapy;
- 4. Physical and occupational therapy;
- 5. Speech, language, and hearing therapy;
- Psychiatric, psychological, and social services, including positive behavior management;
- 7. Assessment for determining eligibility and vocational rehabilitation needs;
- 8. Rehabilitation technology;
- 9. <u>Job development</u>, placement, and retention services;
- 10. Evaluation or control of specific disabilities;
- 11. Orientation and mobility services for individuals who are blind;
- 12. Extended employment;
- 13. Psychosocial rehabilitation services;
- 14. Supported employment services and extended services;
- 15. Services to family members if necessary to enable the applicant or eligible individual to achieve an employment outcome;
- 16. Personal assistance services; or
- 17. Services similar to the services described in subdivisions 1 through 16 of this definition.

For the purposes of this definition, the word program means an agency, organization, or institution, or unit of an agency, organization, or institution, that provides directly or facilitates the provision of vocational rehabilitation services as one of its major functions.

"Comparable services and benefits" means services and benefits that are provided or paid for, in whole or in part, by other federal, state, or local public agencies, by health insurance, or by employee benefits; available to the individual at the time needed to achieve the intermediate rehabilitation objectives in the individual's Individualized Written Rehabilitation Program (IWRP); and commensurate to the services that the individual would otherwise receive from the vocational rehabilitation agency.

"Competitive employment" means work in the competitive labor market that is performed on a full-time or part-time basis in an integrated setting; and for which an individual is compensated at or above the minimum wage, but not less than the customary wage and level of benefits paid by the employer for the same or similar work performed by individuals who are not disabled.

"Department" means the Department of Rehabilitative Services.

"Designated state agency" or "state agency" means the sole state agency designated in accordance with 34 CFR Sec.361.13(a), to administer, or supervise local administration of the state plan for vocational rehabilitation services.

"Designated state unit" or "state unit" means either the state agency vocational rehabilitation bureau, division, or other organizational unit that is primarily concerned with vocational rehabilitation or vocational and other rehabilitation of individuals with disabilities and that is responsible for the administration of the vocational rehabilitation program of the state agency, as required under 34 CFR Sec. 361.13(b); or the independent state commission, board, or other agency that has vocational rehabilitation, or vocational and other rehabilitation, as its primary function.

"Economic needs test" means a test used to consider the financial need of handicapped individuals for the purpose of determining the extent of their participation in the costs of vocational rehabilitation services.

"Eligible" or "eligibility" when used in relation to an individual's qualification for vocational rehabilitation services, refers to a certification that (i) an individual has a physical or mental disability which for that individual constitutes or results in a substantial handicap to employment, and (ii) vocational rehabilitation services may reasonably be expected to benefit the individual in terms of employability.

"Eligible individual" means an applicant for vocational rehabilitation services who meets the eligibility requirements of 22 VAC 30-20-30 and 22 VAC 30-20-40.

"Employability" refers to a determination that the provision of vocational rehabilitation services is likely to enable an individual to enter or retain employment consistent with his capacities and abilities in the competitive labor markets; the practice of a profession; self-employment; homemaking; farm or family work (including work for which payment is in kind rather than in cash); sheltered employment; homebound employment; or other gainful work.

"Employment outcome" means, with respect to an individual, entering or retaining full-time or, if appropriate, part-time competitive employment in the integrated labor market to the greatest extent practicable; supported employment; or any other type of employment that is consistent with an individual's strengths, resources, priorities, concerns, abilities, capabilities, interests, and informed choice.

"Establishment of a rehabilitation facility" means (i) the acquisition, expansion, remodeling, or alteration of existing buildings, necessary to adapt them or increase their effectiveness for rehabilitation facility purposes; (ii) the acquisition of initial or additional equipment for these buildings essential for providing vocational rehabilitation services; or (iii) the initial or additional staffing of a rehabilitation facility for a period, in the case of any individual staff person not longer than four years and three months.

"Evaluation of vocational rehabilitation potential" means, as appropriate, in each case (i) a preliminary diagnostic study to determine that an individual is eligible for vocational

VIRGINIA DEPARTMENT OF REHABILITATIVE SERVICES

PROVISION OF VOCATIONAL REHABILITATION SERVICES

rehabilitation services; (ii) a thorough diagnostic study consisting of a comprehensive evaluation of pertinent factors bearing on the individual's handicap-impediment to employment and vocational rehabilitation potential, in order to determine which vocational rehabilitation services may be of benefit to the individual in terms of employability; (iii) any other goods or services necessary to determine the nature of the handicap-disability and whether it may reasonably be expected that the individual can benefit from vocational rehabilitation services in terms of employabilityan employment outcome; (iv) referral to other agencies or organizations, when appropriate; and (v) the provision of vocational rehabilitation services to an individual during an extended evaluation of rehabilitation potential for the purpose of determining whether the individual is a handicapped individual—with a disability for whom a vocational goal—is feasible capable of achieving an employment outcome

"Extended employment" means work in a non-integrated or sheltered setting for a public or private nonprofit agency or organization that provides compensation in accordance with the Fair Labor Standards Act and any needed support services to an individual with a disability to enable the individual to continue to train or otherwise prepare for competitive employment, unless the individual through informed choice chooses to remain in extended employment.

"Extended evaluation" means the provision of vocational rehabilitation services necessary for determination of rehabilitation potential.

"Extended services," as used in the definition of "Supported employment" means ongoing support services and other appropriate services that are needed to support and maintain an individual with a most severe disability in supported employment and that are provided by a state agency, a private nonprofit organization, employer, or any other appropriate resource, from funds other than funds received under this section, 34 CFR part 363, 34 CFR part 376, or 34 CFR part 380, after an individual with a most severe disability has made the transition from support provided by the designated state unit.

"Extreme medical risk" means a probability of substantially increasing functional impairment or death if medical services, including mental health services, are not provided expeditiously.

"Family member" or "member of the family" means any relative by blood or marriage of a handicapped individual (and other individual) living in the same household with whom the handicapped individual has a close interpersonal relationship an individual who either is a relative or guardian of an applicant or eligible individual; or lives in the same household as an applicant or eligible individual who has a substantial interest in the well-being of that individual and whose receipt of vocational rehabilitation services is necessary to enable the applicant or eligible individual to achieve an employment outcome.

"Higher education/institutions of higher education" means training or training services provided by universities, colleges, community or junior colleges, vocational schools, technical institutes, or hospital schools of nursing.

"Impartial hearing officer" means an individual who is not an employee of a public agency (other than an administrative law judge, hearing examiner, or employee of an institution of higher education); is not a member of the State Rehabilitation Advisory Council for the designated state unit; has not been involved in previous decisions regarding the vocational rehabilitation of the applicant or eligible individual; has knowledge of the delivery of vocational rehabilitation services, the state plan, and the federal and state regulations governing the provision of services; has received training with respect to the performance of official duties; and has no personal, professional, or financial interest that would be in conflict with the objectivity of the individual. An individual may not be considered to be an employee of a public agency for the purposes of this definition solely because the individual is paid by the agency to serve as a hearing officer.

"Individual who is blind" means a person who is blind within the meaning of the applicable state law.

"Individual with a disability," except in §§ 361.17 (a), (b), (c), and (j), 361.19, 361.20, and 361.51(b)(2), means an individual (i) Who has a physical or mental impairment; (ii) Whose

impairment constitutes or results in a substantial impediment to employment; and (iii) Who can benefit in terms of an employment outcome from the provision of vocational rehabilitation services. "Individual with a disability", for purposes of §§ 361.17 (a), (b), (c), and (j), 361.19, 361.20, and 361.51(b)(2), means an individual (i) Who has a physical or mental impairment that substantially limits one or more major life activities; (ii) Who has a record of such an impairment; or (iii) Who is regarded as having such an impairment.

"Individual with a most severe disability" means an individual who meets the designated state unit's criteria for an individual with a most severe disability.

"Individual with a severe disability" means an individual with a disability (i) Who has a severe physical or mental impairment that seriously limits one or more functional capacities (such as mobility, communication, self-care, self-direction, interpersonal skills, work tolerance, or work skills) in terms of an employment outcome; (ii) Whose vocational rehabilitation can be expected to require multiple vocational rehabilitation services over an extended period of time; and (iii) Who has one or more physical or mental disabilities resulting from amputation, arthritis, autism, blindness, burn injury, cancer, cerebral palsy, cystic fibrosis, deafness, head injury, heart disease, hemiplegia, hemophilia, respiratory or pulmonary dysfunction, mental retardation, mental illness, multiple sclerosis, muscular dystrophy, musculo-skeletal disorders, neurological disorders (including stroke and epilepsy), spinal cord conditions (including paraplegia and quadriplegia), sickle cell anemia, specific learning disability, end-stage renal disease, or another

VIRGINIA DEPARTMENT OF REHABILITATIVE SERVICES Page

PROVISION OF VOCATIONAL REHABILITATION SERVICES

disability or combination of disabilities determined on the basis of an assessment for determining eligibility and vocational rehabilitation needs to cause comparable substantial functional limitation.

"Individual's representative" means any representative chosen by an applicant or eligible individual, including a parent, guardian, other family member, or advocate, unless a representative has been appointed by a court to represent the individual, in which case the court-appointed representative is the individual's representative.

"Integrated setting," with respect to the provision of services, means a setting typically found in the community in which applicants or eligible individuals interact with non-disabled individuals other than non-disabled individuals who are providing services to those applicants or eligible individuals. "Integrated setting," with respect to an employment outcome, means a setting typically found in the community in which applicants or eligible individuals interact with non-disabled individuals, other than non-disabled individuals who are providing services to those applicants or eligible individuals, to the same extent that non-disabled individuals in comparable positions interact with other persons.

"IWRP" means an individualized written rehabilitation program for each individual being provided services under an extended evaluation to determine rehabilitation potential or for a

VIRGINIA DEPARTMENT OF REHABILITATIVE SERVICES

PROVISION OF VOCATIONAL REHABILITATION SERVICES

vocational rehabilitation program that describes all services to be provided and places primary emphasis on the determination and achievement of a vocational goal.

"Local agency" means an agency of a unit of general local government or of an Indian tribal organization (or combination of such units or organizations) which has the sole responsibility under an agreement with the state agency to conduct a vocational rehabilitation program in the locality under the supervision of the state agency in accordance with the state plan.

"Long-range goals and intermediate objectives" means the establishment of a vocational goal attainable with the provision of vocational rehabilitation services such as physical restoration, personal adjustment and the achievement of vocational skills as possible objectives needed to attain the goal.

"Maintenance" means monetary support provided to an eligible individual or an individual receiving extended evaluation services for those expenses, such as food, shelter, and clothing, that are in excess of the normal expenses of the individual and that are necessitated by the individual's participation in a program of vocational rehabilitation services.

"Mental disability" means (i) having a disability attributable to mental retardation, autism, or any other neurologically handicapping disabling condition closely related to mental retardation and requiring treatment similar to that required by mentally retarded individuals; or (ii) an organic or mental impairment that has substantial adverse effects on an individual's

cognitive or volitional functions, including central nervous system disorders or significant discrepancies among mental functions of an individual.

"Nonprofit community rehabilitation program" means a community rehabilitation

program carried out by a corporation or association, no part of the net earnings of which inures,

or may lawfully inure, to the benefit of any private shareholder or individual and the income of

which is exempt from taxation under section 501(c)(3) of the Internal Revenue Code of 1954.

"Ongoing support services," as used in the definition of "Supported employment" means services that are needed to support and maintain an individual with a most severe disability in supported employment; identified based on a determination by the designated state unit of the individual's needs as specified in an individualized written rehabilitation program; and furnished by the designated state unit from the time of job placement until transition to extended services, unless post-employment services are provided following transition, and thereafter by one or more extended services providers throughout the individual's term of employment in a particular job placement or multiple placements if those placements are being provided under a program of transitional employment. These services must include an assessment of employment stability and provision of specific services or the coordination of services at or away from the worksite that are needed to maintain stability based on, at a minimum, twice-monthly monitoring at the worksite of each individual in supported employment; or if under special circumstances, especially at the request of the individual, the individualized written rehabilitation program

provides for off-site monitoring, twice-monthly meetings with the individual. These services must consist of any particularized assessment supplementary to the comprehensive assessment of rehabilitation needs described in this section; the provision of skilled job trainers who accompany the individual for intensive job skill training at the work site; job development and placement; social skills training; regular observation or supervision of the individual; follow-up services including regular contact with the employers, the individuals, the parents, family members, guardians, advocates or authorized representatives of the individuals, and other suitable professional and informed advisors, in order to reinforce and stabilize the job placement; facilitation of natural supports at the worksite; any other service identified in the scope of vocational rehabilitation services for individuals, described in 22 VAC 30-20-120; or any service similar to the foregoing services.

"Otological examination" means any examination conducted by a physician skilled in otology.

"Personal assistance services" means a range of services provided by one or more persons designed to assist an individual with a disability to perform daily living activities on or off the job that the individual would typically perform without assistance if the individual did not have a disability. The services must be designed to increase the individual's control in life and ability to perform everyday activities on or off the job. The services must be necessary to the achievement of an employment outcome and may be provided only while the individual is receiving other

vocational rehabilitation services. The services may include training in managing, supervising, and directing personal assistance services.

"Physical disability" means any physical condition, anatomic loss, or cosmetic disfigurement which is caused by bodily injury, birth defect, or illness.

"Physical and mental restoration services" means corrective surgery or therapeutic treatment that is likely, within a reasonable period of time, to correct or modify substantially a stable or slowly progressive physical or mental impairment that constitutes a substantial impediment to employment; diagnosis of and treatment for mental or emotional disorders by qualified personnel in accordance with state licensure laws; dentistry; nursing services; necessary hospitalization (either inpatient or outpatient care) in connection with surgery or treatment and clinic services; drugs and supplies; prosthetic, orthotic, or other assistive devices, including hearing aids; eyeglasses and visual services, including visual training, and the examination and services necessary for the prescription and provision of eyeglasses, contact lenses, microscopic lenses, telescopic lenses, and other special visual aids prescribed by personnel that are qualified in accordance with state licensure laws; podiatry; physical therapy; occupational therapy; speech or hearing therapy; mental health services; treatment of either acute or chronic medical complications and emergencies that are associated with or arise out of the provision of physical and mental restoration services, or that are inherent in the condition under treatment; special services for the treatment of individuals with end-stage renal disease, including transplantation,

dialysis, artificial kidneys, and supplies; and other medical or medically related rehabilitation services.

"Physical or mental impairment" means an injury, disease, or other condition that materially limits, or if not treated is expected to materially limit, mental or physical functioning.

"Post-employment services" means one or more of the services identified in 22 VAC 30-20-120 which that are required to maintain the individual in employment after closure provided subsequent to the achievement of an employment outcome and that are necessary for an individual to maintain, regain, or advance in employment, consistent with the individual's strengths, resources, priorities, concerns, abilities, capabilities, and interests.

"Prevocational training" means individual and group instruction or counseling, the controlled use of varied activities, and the application of special behavior modification techniques; elients individuals or patients are helped to: (i) develop physical and emotional tolerance for work demands and pressures, (ii) acquire personal-social behaviors which would make them acceptable employees and coworkers on the job, and (iii) develop the basic manual, academic, and communication skills needed to acquire basic job skills.

"Prosthetic and orthotic appliances" means any mechanical equipment that improves or substitutes for one or more of man's senses or for impaired mobility or motor coordination.

"Public safety officer" means an individual who performs duties directly related to the enforcement, execution, and administration of law or fire prevention, firefighting, or related public safety activities, and whose handicapping-substantially-limiting condition arose from a disability sustained in the line of duty while performing as a public safety officer and the immediate cause of such disability was a criminal act, apparent criminal act, or a hazardous condition.

"Rehabilitation facility" means a facility which is operated for the primary purpose of providing vocational rehabilitation services to handicapped individuals individuals with disabilities, and which provides singly or in combination one or more of the following services for handicapped individuals individuals with disabilities: (i) vocational rehabilitation services, including under one management, medical, psychiatric, psychological, social, and vocational services; (ii) testing, fitting, or training in the use of prosthetic and orthotic devices; (iii) prevocational conditioning or recreational therapy; (iv) physical and occupational therapy; (v) speech and hearing therapy; (vi) psychological and social services; (vii) evaluation of rehabilitation potential; (viii) personal and work adjustment; (ix) vocational training with a view toward career advancement (in combination with other rehabilitation services); (x) evaluation or control of specific disabilities; (xi) orientation and mobility services and other adjustment services to blind individuals individuals who are blind; and (xii) transitional or extended

VIRGINIA DEPARTMENT OF REHABILITATIVE SERVICES

PROVISION OF VOCATIONAL REHABILITATION SERVICES

employment for those handicapped individuals with disabilities who cannot be readily absorbed in the competitive labor market.

"Rehabilitation technology" means the systematic application of technologies, engineering methodologies, or scientific principles to meet the needs of, and address the barriers confronted by, individuals with disabilities in areas that include education, rehabilitation, employment, transportation, independent living, and recreation. The term includes rehabilitation engineering, assistive technology devices, and assistive technology services.

"Reservation" means a federal or state Indian reservation, public domain Indian allotment, former Indian reservation in Oklahoma, and land held by incorporated native groups, regional corporations and village corporations under the provision of the Alaska Native Claims

Settlement Act.

"Services to groups" means the provision of facilities and services which may be expected to contribute substantially to the vocational rehabilitation of a group of individuals, but which are not related directly to the individualized rehabilitation program of any one handicapped individual with a disability.

"Severely handicapped individual" means a handicapped individual (i) who has a severe physical or mental disability which seriously limits one or more functional capacities (mobility,

VIRGINIA DEPARTMENT OF REHABILITATIVE SERVICES

PROVISION OF VOCATIONAL REHABILITATION SERVICES

communication, self-care, self-direction, work tolerance, or work skills) in terms of employability; and (ii) whose vocational rehabilitation can be expected to require multiple vocational rehabilitation services over an extended period of time; and (iii) who has one or more physical or mental disabilities resulting from amputation, arthritis, blindness, cancer, cerebral palsy, cystic fibrosis, deafness, heart disease, hemiplegia, hemophilia, respiratory or pulmonary dysfunction, mental retardation, mental illness, multiple sclerosis, muscular dystrophy, musculoskeletal disorders, neurological disorders (including stroke and epilepsy), paraplegia, quadriplegia, and other spinal cord conditions, sickle cell anemia, specific learning disability, end-stage renal disease, or another disability or combination of disabilities determined on the basis of an evaluation of rehabilitation potential to cause comparable substantial functional limitations.

"Sheltered employment" means a service which provides supervised, guided remunerative employment for an individual whose current assessment indicates employment in a sheltered setting representing the individual's maximum level of vocational functioning. This service may involve the development of social, personal and work related skills based on an individualized elient rehabilitation or habilitation plan.

"Similar benefits" means any appropriate service or financial assistance available from a program other than vocational rehabilitation to meet, in whole or in part, the cost of vocational

rehabilitation services to be provided under an individualized written rehabilitation program for a handicapped individual.

"State" means the Commonwealth of Virginia.

"State plan" means the State plan for vocational rehabilitation services or the vocational rehabilitation services part of a consolidated rehabilitation plan under 34 CFR 361.10(c).

"Substantial handicap to employment" means that a physical or mental disability (in light of attendant medical, psychological, vocational, educational, and other related factors) impedes an individual's occupational performance, by preventing his obtaining, retaining, or preparing for employment consistent with his capacities and abilities.

"Substantial impediment to employment" means that a physical or mental impairment (in light of attendant medical, psychological, vocational, educational, and other related factors)

hinders an individual from preparing for, entering into, engaging in, or retaining employment consistent with the individual's abilities and capabilities.

"Supported employment" means (i) competitive work employment in an integrated work setting with ongoing support services for individuals with the most severe disabilities for whom competitive employment (a) has not traditionally occurred, or (b) for whom competitive

employment has been interrupted or intermittent as a result of <u>a</u> severe <u>disabilities disability</u>; <u>and</u> who, because of the nature and severity of their disabilities, need intensive supported employment services from the designated state unit and extended services after transition in order to perform this work or (ii) transitional employment for individuals with <u>chronic the most</u> severe disabilities due to mental illness.

"Supported employment services" means ongoing support services and other appropriate services needed to support and maintain an individual with a most severe disability in supported employment that are provided by the designated state unit for a period of time not to exceed 18 months, unless under special circumstances the eligible individual and the rehabilitation counselor or coordinator jointly agree to extend the time in order to achieve the rehabilitation objectives identified in the individualized written rehabilitation program; and following transition, as post-employment services that are unavailable from an extended services provider and that are necessary to maintain or regain the job placement or advance in employment.

"Third party funding" means the use of money from a public or private source to match available allocations.

"Transition services" means a coordinated set of activities for a student designed within an outcome-oriented process that promotes movement from school to post-school activities, including post-secondary education, vocational training, integrated employment (including

supported employment), continuing and adult education, adult services, independent living, or community participation. The coordinated set of activities must be based upon the individual student's needs, taking into account the student's preferences and interests, and must include instruction, community experiences, the development of employment and other post-school adult living objectives, and, if appropriate, acquisition of daily living skills and functional vocational evaluation. Transition services must promote or facilitate the accomplishment of long-term rehabilitation goals and intermediate rehabilitation objectives identified in the student's IWRP.

"Transitional employment," as used in the definition of "Supported employment," means a series of temporary job placements in competitive work in integrated settings with ongoing support services for individuals with the most severe disabilities due to mental illness. In transitional employment, the provision of ongoing support services must include continuing sequential job placements until job permanency is achieved.

"Transportation" means travel and related expenses that are necessary to enable an applicant or eligible individual to participate in a vocational rehabilitation service.

"Vocational evaluation" means a systematic, formalized assessment and subsequent recommendations. The assessment is for the purpose of determining an individual's vocational objectives based on his assets and limitations. The assessment methods are client centered and include evaluation techniques appropriate to the individual. The assessment results in specific

VIRGINIA DEPARTMENT OF REHABILITATIVE SERVICES

PROVISION OF VOCATIONAL REHABILITATION SERVICES

recommendations to be used in the development of the individual rehabilitation or habilitation plan.

"Vocational rehabilitation services when provided to an individual" means those services listed in this section.

"Vocational rehabilitation services when provided for the benefit of groups of individuals" means (i) the establishment of a rehabilitation facility; (ii) the construction of a rehabilitation facility; (iii) the provision of other facilities and services, including services provided at rehabilitation facilities, which promise to contribute substantially to the rehabilitation of a group of individuals but which are not related directly to the individualized written rehabilitation program of any one handicapped individual; (iv) the use of existing telecommunications systems; and (v) captioned films or video cassettes for deaf person.

"Vocational rehabilitation services" means those services listed in 22 VAC 30-20-120.

"Vocational skill training" means a program of organized and systematic instruction conducted by qualified instructors and designed to enable clients to acquire marketable skills in a specific occupation or trade.

"Work activity services" means therapeutic work activities and educational, social, personal and vocational adjustment training to assist severely disabled individuals to attain their optimal level of vocational development and to enhance their ability to function independently within the community.

"Work adjustment training" means a treatment and training process utilizing individual and group work, or work related activities, to assist individuals in understanding the meaning, value and demands of work; to modify or develop attitudes, personal characteristics, work behavior, and to develop functional capacities, as required in order to assist individuals toward their optimum level of vocational development.

"Workshop" means a rehabilitation facility, or that part of a rehabilitation facility, engaged in production or service operation for the primary purpose of providing gainful employment as an interim step in the rehabilitation process for those who cannot be readily absorbed in the competitive labor market or during such time as employment opportunities for them in the competitive labor market do not exist.

The department shall expeditiously and equitably process referrals and applications for vocational rehabilitation services.

- A. Referrals. An individual is a referral when the following items of information are secured:

 1. Name;

 2. Address;

 3. Disability;

 4. Age;

 5. Sex;
- 7. Source of referral; and
- 8. Social security number or temporary case number. The state plan must assure that the designated state unit has established and implemented standards for the prompt and equitable handling of referrals of individuals for vocational rehabilitation services. The standards must include timelines for making good faith efforts to inform these individuals of application requirements and to gather information necessary to initiate an assessment for determining eligibility and priority for services.
- B. Applicant Applications. An individual is an applicant when the department has secured the items of information as listed in subsection A of 22VAC30-20-10 and has a document signed by the individual, or the individual's guardian requesting vocational

rehabilitation services. A thorough explanation of rights and responsibilities shall be given to the applicant in the manner best suited to ensure its comprehension. This explanation shall include the right for an administrative review and fair hearing and the availability of the Client Assistance Project within the Department for Rights of Virginians with Disabilities. A rights and responsibilities form shall be signed by the applicant.

- 1. The state plan must assure that once an individual has submitted an application for vocational rehabilitation services, an eligibility determination shall be made within 60 days, unless (i) exceptional and unforeseen circumstances beyond the control of the agency preclude a determination within 60 days and the agency and the individual agree to a specific extension of time; or (ii) an extended evaluation is necessary.
- 2. An individual is considered to have submitted an application when the individual or the individual's representative, as appropriate (i) has completed and signed an agency application form or has otherwise requested services; (ii) has provided information necessary to initiate an assessment to determine eligibility and priority for services; and (iii) is available to complete the assessment process.
- 3. The designated state unit shall ensure that its application forms are widely available throughout the state.

4. A face-to-face interview with the applicant is required.

22_VAC_30-20-30. <u>Assessment for determining</u> Eligibility eligibility and priority for vocational rehabilitation services.

The state plan must assure that, in order to determine whether an individual is eligible for vocational rehabilitation services and the individual's priority under an order of selection for services (if the state is operating under an order of selection), the designated state unit will conduct an assessment for determining eligibility and priority for services. The assessment must be conducted in the most integrated setting possible, consistent with the individual's needs and informed choice, and in accordance with the following provisions:

- Eligibility requirements shall be are applied without regard to sex, race, age, gender, creed, color, or national origin;
- 2. No <u>applicant or group of individuals applicants shall be is excluded or found</u> ineligible solely on the basis of the type of disability;

- 3. No upper or lower age limit shall be applied which shall in and of itself result in a determination of ineligibility for any person with a disability who otherwise meets the basic eligibility requirements. The eligibility requirements are applied without regard to the particular service needs or anticipated cost of services required by an applicant or the income level of an applicant or applicant's family; and
- 4. No <u>duration of residence requirement</u>, <u>durational or other</u>, <u>shall be is</u> imposed <u>which that excludes from services any individual who is present in the Commonwealth</u>state.
- B. Preliminary diagnostic study eligibility determination. A preliminary diagnostic study is required on each individual who makes application for services. Diagnostic and evaluative services needed are provided to determine the applicant's eligibility for vocational rehabilitation services; ineligibility for vocational rehabilitation services; or the need for an extended evaluation. In all cases, the evaluation places primary emphasis upon determining the applicant's potential for achieving a vocational goal as determined by the applicant and the department. Eligibility determination is accomplished when an individual completes applicant status, or

extended evaluation. When sufficient information is not available without cost, the department shall purchase the information needed.

22_VAC_30-20-40. Basic eEligibility criteria Eligibility requirements.

A. -Basic requirements. The state plan must assure that the state unit's determination of an applicant's eligibility for vocational rehabilitation services is based only on the following requirements: (i) a determination that the The individual shall have applicant has a physical or mental disability impairment; (ii) a determination that the which for the individual applicant's physical or mental impairment constitutes or results in a substantial handicap to impediment to employment for the applicant; (iii) a presumption, in accordance with subsection B of this section, that the applicant can benefit in terms of an employment outcome from the provision of vocational rehabilitation services; and (iv) a determination that the applicant requires vocational rehabilitation services to prepare for, enter into, engage in, or retain gainful employment consistent with the applicant's strengths, resources, priorities, concerns, abilities, capabilities, and informed choice.

- B. _____ Presumption of benefit. There shall be a reasonable expectation that The state plan must assure that the designated state unit will presume that an applicant who meets the basic eligibility requirements in subsection (A)(i) and (ii) of this section can benefit in terms of an employment outcome unless it demonstrates, based on clear and convincing evidence, that the applicant is incapable of benefiting in terms of an employment outcome from vocational rehabilitation services may benefit the individual in terms of employability.
- 1. The existence of a physical or mental disability shall be substantiated by adequate medical, psychiatric or psychological reports.
- 2. A substantial handicap to employment is a physical or mental disability (in light of attendant medical, psychological, vocational, educational, and other related factors) that impedes an individual's occupational performance, by preventing his obtaining, retaining, or preparing for employment consistent with his capacities and abilities.
- 3. Data accumulated in the case study not directly related to a disability may be used to substantiate a substantial handicap to employment.
- 4. Employability refers to a determination by the applicant and the department that the provision of vocational rehabilitation services is likely to enable an individual to enter or retain employment consistent with his capacities and abilities in the competitive labor market; the practice of a profession; self-employment; homemaking; farm or family work (including work for which payment is in kind rather than in cash); sheltered employment; homebound employent; or other gainful work.

- C. Limited presumption for Social Security beneficiaries. The state plan must assure that, if an applicant has appropriate evidence, such as an award letter, that establishes the applicant's eligibility for Social Security benefits under Title II or Title XVI of the Social Security Act, the designated state unit will presume that the applicant (i) meets the eligibility requirements in subsection (A)(i) and (ii) of this section; and (ii) has a severe physical or mental impairment that seriously limits one or more functional capabilities in terms of an employment outcome.
- D. Review and assessment of data for eligibility determination. Except as provided in 22 VAC 30-20-60, the designated state unit shall base its determination of each of the basic eligibility requirements in subsection (A) of this section on:
 - 1. A review and assessment of existing data, including counselor
 observations, education records, information provided by the individual or the
 individual's family, information used by the Social Security Administration, and
 determinations made by officials of other agencies; and
 - 2. To the extent existing data do not describe the current functioning of the individual or are unavailable, insufficient, or inappropriate to make an eligibility determination, an assessment of additional data resulting from the provision of

vocational rehabilitation services, including assistive technology devices and services and worksite assessments, that are necessary to determine whether an individual is eligible.

22_VAC_30-20-50. Evaluation of vocational rehabilitation potential.

An evaluation shall be required in order to determine eligibility for services, the need for an extended evaluation, or ineligibility for services.

A. The preliminary diagnostic study. The preliminary diagnostic study shall both determine and document the basic eligibility criteria.

B. Extent of study. The preliminary diagnostic study shall include examinations and studies needed to make the determination of eligibility. In all cases, the evaluation shall place primary emphasis upon determining the individual's potential for achieving a vocational goal.

C.

D. Thorough diagnostic study. As appropriate in each case, after an individual's eligibility for vocational rehabilitation services has been determined, there shall be a thorough diagnostic study to determine the nature and scope of services needed. This study shall consist of a comprehensive evaluation of pertinent medical, psychological, vocational, educational and other factors relating to the individual's handicap to employment and rehabilitation needs. This study shall include in all cases, to the degree needed, an appraisal of the individual's personality; intelligence level; educational achievement; work experience; personal, vocational and social adjustment; employment opportunities; and other pertinent data helpful in determining the types and quantity of services needed. The study shall also include, as appropriate for each individual, an appraisal of patterns of work behavior, ability to acquire occupational skills and capacity for successful job performance.

EB-. Hospitalization for diagnosis may be provided when all of the following conditions are met:

- This service is required in order to determine eligibility for services or type of services needed; and
- This service is recommended by a licensed medical doctor.
 The maximum period of diagnostic hospitalization shall be three days.

- 22 VAC 30-20-60. Extended evaluation to determine vocational rehabilitation potential <u>for</u> individuals with severe disabilities.
- A. Criteria. The provision of vocational rehabilitation services under an extended evaluation to determine vocational rehabilitation potential is based only upon:
- 1. The presence of a physical or mental disability which for the individual constitutes or results in a substantial handicap to employment; and
- 2. The department's inability to make a determination that vocational rehabilitation services might benefit the individual in terms of employability unless there is an extended evaluation to determine vocational rehabilitation potential.
 - A. Prior to any determination that an individual with a severe disability is incapable of benefiting from vocational rehabilitation services in terms of an employment outcome because of the severity of the individual's disability, the state unit shall conduct an extended evaluation to determine whether or not there is clear and convincing evidence to support such a determination.
 - B. Duration and scope of services. During the extended evaluation period, which may not exceed 18 months, Vocational rehabilitation services necessary for determination of rehabilitation potential, including those must be provided during a thorough diagnostic study, may be provided to a handicapped individual for a period not to exceed 18 months in the most integrated setting possible, consistent with the informed choice of the individual.

C. Other requirements of the extended evaluation:

- 1. The extended evaluation period shall begin on the date of certification for such evaluation. Only one 18-month maximum period shall be provided during the time that the client is receiving services. If a case has been closed and an individual's needs have later changed, the case may be reopened and a subsequent evaluation of vocational rehabilitation potential may be conducted.
- 2. Vocational rehabilitation services authorized after the expiration of the extended evaluation period shall be provided only if a certification of eligibility has been executed.
 3. The jointly developed Individualized Written Rehabilitation Program (IWRP) for extended evaluation shall be written prior to the applicant receiving services and shall be signed by the applicant, or as appropriate, the applicant's designee. A copy of the IWRP shall be given to the applicant.
- 4. Goods and services necessary to determine rehabilitation potential may be provided during an extended evaluation. They do not require the establishment of economic need when the services are of a diagnostic nature. During the extended evaluation period, the state unit shall develop a written plan for determining eligibility and for determining the nature and scope of services required to achieve an employment outcome. The state unit

may provide during this period only those services that are necessary to make these two determinations.

- D. Review. A thorough assessment of The state unit shall assess the individual's progress shall be made as frequently as necessary, but at least once every 90 days, during the extended evaluation period. This assessment shall include periodic reports from the facility or person providing the services in order to determine the results of such services and to determine whether the individual may be eligible or ineligible for services.
- E. Termination. At any time before the end of the 18-month extended evaluation period, the extended evaluation—The state unit shall terminate extended evaluation services at any point during the 18 month extended evaluation period if the state unit determines that (i) there is sufficient evidence to conclude that the individual can benefit from vocational rehabilitation services in terms of an employment outcome; or (ii) there is clear and convincing evidence that the individual is incapable of benefiting from vocational rehabilitation services in terms of an employment outcome. when:
- 1. The individual is found eligible for vocational rehabilitation services since there is a reasonable assurance that the individual can be expected to benefit in terms of employability resulting from vocational rehabilitation services; or

2. The individual is found ineligible for any additional vocational rehabilitation services since it has been determined on the basis of clear evidence that the individual cannot be expected to benefit in terms of employability resulting from vocational rehabilitation services.

Clear and convincing evidence means that the designated state unit shall have a high degree of certainty before it can conclude that an individual is incapable of benefiting from services in terms of an employment outcome. The "clear and convincing" standard constitutes the highest standard used in our civil system of law and is to be individually applied on a case-by-case basis. The term clear means unequivocal. Given these requirements, a review of existing information generally would not provide clear and convincing evidence. For example, the use of an intelligence test result alone would not constitute clear and convincing evidence.

Clear and convincing evidence might include a description of assessments, including situational assessments and supported employment assessments, from service providers who have concluded that they would be unable to meet the individual's needs due to the severity of the individual's disability. The demonstration of "clear and convincing evidence" must include, if appropriate, a functional assessment of skill development activities, with any necessary supports (including assistive technology), in real life settings. (S. Rep. No. 357, 102d Cong., 2d. Sess. 37-38 (1992))

A. ____ For vocational rehabilitation services, before or at the same time the applicant is accepted for services, the department shall certify that the applicant has met the basic eligibility requirements as specified in 22 VAC 30-20-40.

B. ____For extended evaluation, as a basis for providing an extended evaluation to determine vocational rehabilitation potential, there shall be certification that the applicant has met the requirements as specified in 22_VAC_30-20-60.

22VAC30-20-80. Procedures for Ineligibility ineligibility determination.

- A. Certification of ineligibility. When it is determined on the basis of factors as diagnosis, prognosis, or interest The state plan must assure that if the state unit determines that an applicant for or recipient of is ineligible for vocational rehabilitation services is ineligible for services, a certification shall be signed and dated. or determines that an individual receiving services under an individualized written rehabilitation program is no longer eligible for services, that state unit shall:
 - 1. Such Make the determination shall be made only after providing an opportunity for full consultation with the individual or, as appropriate, the parent,

guardian, or other representative, or after giving a clear opportunity for this consultation with the individual's representative.

- 2. The department shall provide notification Inform the individual in writing, supplemented as necessary by other appropriate modes of communication consistent with the informed choice of the individual, of the action taken ineligibility determination, including the reasons for that determination, the requirements under this section, and inform the individual of his rights and the means by which he may express and seek remedy for any dissatisfaction, including the procedures for administrative review and fair hearing of a determination by the rehabilitation counselor or coordinator in accordance with 22 VAC 30-20-181.
- 3. <u>Provide Tthe individual shall be provided with a detailed documented</u>

 explanation of the availability of the resources within with a description of

 services available under the Client Assistance Program, Department for Rights of

 Virginians with Disabilities, and when appropriate, referral shall be made to other

 agencies and facilities, including, when appropriate, an independent living

 rehabilitation programinformation on how to contact that program.

- B.4. Review of ineligibility determination. When the department has certified the ineligibility of an applicant for or a recipient of vocational rehabilitation services because of Review within 12 months and annually thereafter if requested by the individual or, if appropriate, by the individual's representative any ineligibility determination that is based on a finding that the individual cannot be expected to achieve a vocational goal, the ineligibility determination shall be reviewed within 12 months is incapable of achieving an employment outcome.

 This review need not be conducted in situations where in which the individual has refused it, the individual is no longer in the Commonwealth, the individual's whereabouts are unknown, or a the individual's medical condition is rapidly progressive or terminal.
- C.B. Case closure without eligibility determination. The state plan must assure that the state unit may not close an applicant's record of services prior to making an A case may be closed without any determination of eligibility determination when an applicant is unavailable during an extended period of time (30 days) to complete an evaluation of vocational rehabilitation potential and the department has made three documented efforts to contact the applicant and to encourage the applicant's participation unless the applicant declines to participate in, or is unavailable to complete an assessment for determining eligibility and priority for services, and the state unit has made a reasonable number of

PROVISION OF VOCATIONAL REHABILITATION SERVICES

attempts to contact the applicant or, if appropriate, the applicant's representative to encourage the applicant's participation.

22_VAC_30-20-90. Order of selection for services.

In the event vocational rehabilitation services cannot be provided because of unavailable resources, to all persons determined to be eligible, upon recommendation by the Commissioner, commissioner, an order of selection system may be approved by the board which shall determine those persons for whom services may be purchased. It shall be the policy of the department to encourage referrals and applications of all persons with disabilities and, to the extent resources permit, provide services to all eligible persons.

The following order of selection is implemented when services cannot be provided to all eligible persons:

- 1. Priority I. Person eligible and presently receiving services under an <a href="https://www.energen.com/www.
- 2. Priority II. Those persons referred and needing diagnostic services to determine eligibility.
- Priority III. Persons determined to be severely disabled.

PROVISION OF VOCATIONAL REHABILITATION SERVICES

4. Priority IV. Other persons determined to be disabled, in order of eligibility determination.

In all priorities preference shall be given to providing services to disabled public safety officers disabled in the line of duty.

22_VAC_30-20-100. The Individualized Written Rehabilitation Program individualized written rehabilitation program (IWRP) procedures.

A. General requirements.

- 1. The IWRP shall be initiated after certification of eligibility for vocational rehabilitation services.
- 2. The IWRP shall be initiated and periodically updated when information has been received that may necessitate a change, or at least annually. This shall be done for each client and for each applicant being provided services under an extended evaluation to determine rehabilitation potential.

- 3. Vocational rehabilitation services shall be provided in accordance with the IWRP. The IWRP which shall be developed and updated jointly, agreed to, and signed by the vocational rehabilitation counselor or coordinator by the department and the client individual or, as appropriate, the client's individual's designee representative within the framework of a counseling and guidance relationship. (361.45 (b)(1)). The state unit shall establish and implement standards for the prompt development of IWRPs for the individuals identified under subdivision 1 of this subsection, including timelines that take into consideration the needs of the individual. (361.45 (b)(2)).
- 4. A copy of the IWRP and any amendments shall be provided to the client or, as appropriate, the client's designee and shall advise each client, or designee of all procedures and requirements affecting the development and review of the IWRP. The state unit shall promptly provide each individual or, as appropriate, the individual's representative, a copy of the IWRP and its amendments in the native language, or appropriate mode of communication, of the individual or, as appropriate, of the individual's representative (361.45 (b)(7)).
- 5. The state unit shall advise each individual or, as appropriate, the individual's representative of all state unit procedures and requirements affecting

the development and review of an IWRP, including the availability of appropriate modes of communication (361.45 (b)(3)).

- 6. —In developing an IWRP for a student with a disability who is receiving special education services, the state unit must consider the student's individualized education program. (361.45 (b)(4)).
- B. ____Individualized Written Rehabilitation Program Review. The IWRP shall be reviewed as often as necessary but at least on an annual basis. The state unit shall review the IWRP with the individual or, as appropriate, the individual's representative as often as necessary, but at least once each year to assess the individual's progress in meeting the objectives identified in the IWRP. (361.45 (b)(5)). The IWRP for extended evaluations must be reviewed at least eve_ry 90 days. The state unit shall assess the individual's progress as frequently as necessary, but at least once every 90 days, during an extended evaluation period. (361.42 (d)(4)). Each client or, client's designee shall be given an opportunity to review the IWRP and, if necessary, jointly redevelop the IWRP and show agreement with its terms by signing it. The state unit shall incorporate into the IWRP any revisions that are necessary to reflect changes in the individual's vocational goal, intermediate objectives, or vocational rehabilitation services, and shall obtain the

agreement and signature of the individual or, as appropriate, of the individual's representative to the revisions. (361.45 (b)(6)).

C. C. Review of ineligibility determination. If services are to be terminated under an IWRP because of a determination that the client is not capable of achieving a vocational goal and is therefore no longer eligible, or if in the case of an applicant who has been provided services under an extended evaluation of vocational potential and services are to be terminated because of a determination that the applicant is not eligible, the state unit determines that an applicant is ineligible for vocational rehabilitation services or determines that an individual receiving services under an individualized written rehabilitation program is no longer eligible for services, the following conditions and procedures shall be met or carried out: The state unit shall:

1._____The decision shall be made only with the full participation of the individual or, as appropriate, parent, guardian or other representative, unless the individual has refused to participate and this is documented, the individual is no longer present in the Commonwealth, the whereabouts are unknown, or medical conditions are rapidly progressive or terminal. When the full participation of the individual or a representative of the individual has been secured in making the decision, the views of the individual shall be recorded in the IWRPMake the

determination only after providing an opportunity for full consultation with the individual or, as appropriate, with the individual's representative;

- 2. The rationale for such ineligibility decision shall be recorded as an amendment to the IWRP certifying that the provision of vocational rehabilitation services had demonstrated that the individual is not capable of achieving a vocational goal, and a certification of ineligibility is then executed Inform the individual in writing, supplemented as necessary by other appropriate modes of communication consistent with the informed choice of the individual, of the ineligibility determination, including the reasons for that determination, the requirements under this section, and the means by which the individual may express and seek remedy for any dissatisfaction, including the procedures for review of a determination by the rehabilitation counselor or coordinator.; and The state unit shall provide the individual with a description of services available from the Client Assistance Program, Department for Rights of Virginians with Disabilities, established under 34 CFR Part 370 and information on how to contact that program; and
- 3. There shall be a periodic review, at least annually, of the Review within
 12 months and annually thereafter if requested by the individual or, if appropriate,

by the individual's representative any ineligibility decision in which the individual is given opportunity for full consideration in the reconsideration of the decision determination that is based on a finding that the individual is incapable of achieving an employment outcome., except This review need not be conducted in situations where a periodic review would be precluded because the individual has refused services or has refused a periodic review in which the individual has refused it, the individual is no longer present in the Commonwealthstate, the individual's whereabouts are unknown, or a the individual's medical condition is rapidly progressive or terminal. The first review of the ineligibility decision shall be initiated by the department and be documented. Any subsequent reviews shall be undertaken at the request of the individual. (361.43).

Cross-references:

22 VAC 30-20-181

22_VAC_30-20-110. Individualized Written Rehabilitation Program written rehabilitation program (IWRP) content.

The IWRP places primary emphasis on the determination and achievement of a vocational goal and shall include, but is not necessarily limited to, Each IWRP must include, as appropriate, statements concerning:

- 1. The basis on which the determination of eligibility has been made, or the basis on which a determination has been made that an extended evaluation of vocational rehabilitation potential is necessary to make a determination of eligibilityThe specific long-term vocational goal, which must be based on the assessment for determining vocational rehabilitation needs, including the individual's career interests, and must be, to the extent appropriate and consistent with the informed choice of the individual, in an integrated setting;
- 2. ____The long_range and specific intermediate rehabilitation objectives established with the client; or client's designeerelated to the attainment of the long-term vocational goal, based on the assessment for determining vocational rehabilitation needs and consistent with the informed choice of the individual;
- 3. _____ The determination of the The specific vocational rehabilitation services to be provided in order to achieve the established intermediate rehabilitation objectives, including, if appropriate, rehabilitation technology services and on-the-job and related personal assistance services;
- 4. ____The projected dates for the initiation of each vocational rehabilitation service, and the anticipated duration of each service, and the projected timeframe for the achievement of the individual's vocational goal;

- 5. A procedure and schedule for periodic review and evaluation of progress toward achieving <u>intermediate</u> rehabilitation objectives based upon objective criteria, and a record of these reviews and evaluations;
- 6. The views of the client or, as appropriate, the client's designee, concerning his goals and objectives and the vocational rehabilitation services being provided How, in the words of the individual or, as appropriate, in the words of the individual's representative, the individual was informed about and involved in choosing among alternative goals, objectives, services, providers, and methods used to procure or provide services;
- 7. The terms and conditions for the provision of vocational rehabilitation services, including responsibilities of the <u>client-individual</u> in implementing the IWRP, the extent of <u>client-the individual's</u> participation in the cost of services <u>if any</u>, <u>the extent to which goods and services will be provided in the most integrated settings possible, consistent with the informed choice of the individual, the extent to which <u>the client is eligible for similar comparable services and benefits are available to the individual under any other programs</u>, and <u>the extent to which these similar benefits have been used the entity or entities that will provide the services and the process used to provide or procure the services;</u></u>

PROVISION OF VOCATIONAL REHABILITATION SERVICES

- 8. A documented assurance that the client has been informed of his The rights of the individual under this part and the means by which he the individual may express and seek remedy for any dissatisfaction, including the opportunity for an administrative a review of state unit action, fair hearing or review by the secretaryrehabilitation counselor or coordinator determinations;
- 9. An assurance that the client has been provided a detailed explanation of the <u>The</u> availability of the resources within the client assistance program the Client Assistance <u>Program</u>, Department for Rights of Virginians with Disabilities₇, established under 34 CFR Part 370; and
- 10. The basis on which the <u>client individual</u> has been determined to <u>be rehabilitated have</u> achieved an employment outcome.; and
- 11. Any plans for the provision of post-employment services after a suitable employment goal has been achieved and the basis on which such plans are developed.

Cross references:

22 VAC 30-20-181

<u>22 VAC 30-20-130</u>

The following vocational rehabilitation services shall be provided only when deemed necessary are available as appropriate to the vocational rehabilitation needs of the client each individual and consistent with each individual's informed choice:

- 1._____Evaluation of rehabilitation potential, including diagnostic and related services incidental to the determination of eligibility for, and the nature and scope of services to be provided. Assessment for determining eligibility and priority for services, and assessment for determining vocational rehabilitation needs.
- primary service.
- 3. ____Physical and mental restoration services necessary to correct or substantially modify a remove, or substantially reduce the disabling effects of a physical or mental

PROVISION OF VOCATIONAL REHABILITATION SERVICES

condition which is stable or slowly progressive and when recommended by a licensed practitioner.

a. Services. Physical and mental restoration services are those medical and medically related services which may be expected to remove, or substantially reduce the handicapping effects of a physical or mental condition.

- a, These services include but are not limited to:
 - (1) ___Convalescent care, nursing or rest home care, when the services are directly related to the vocational rehabilitation objective for an individual who needs continued medical supervision after department-sponsored treatment for their condition. This service must be recommended by the proper medical practitioner before the service is authorized, and is contingent upon the individual being able to reengage in the vocational rehabilitation program. This service may be provided for 30 days, and the commissioner or his designee may approve an additional 30 days of service;
 - (2) <u>Dental treatment Dentistry</u>;
 - (3) ____Drugs and supplies. When medication is to be continuous, e.g., treatment of diabetes or epilepsy, and while the individual is receiving

vocational training, the department may purchase medication during the training period, and for a period not to exceed 90 days after achieving employment. When counseling, medication and placement are the only services provided, the department may pay for medication for a period not to exceed 90 days. Generic drugs shall be utilized when possible;

(4) Necessary Hospitalization hospitalization (both-either inpatient and or outpatient care, and in connection with surgery or treatment and clinic services). The department may pay for hospitalization for medical diagnosis, surgical or medical treatment when deemed necessary for the vocational rehabilitation of the individual and recommended by a licensed practitioner. Hospitalization shall be provided in hospitals, medically oriented treatment facilities, or continuing care facilities in Virginia or out-of-state, with which the department has a contract. Payment to hospitals, medically oriented treatment facilities, or continuing care facilities shall be made in accordance with the department fee schedules. The maximum period of hospitalization, excluding diagnostic, to be authorized based upon financial resources available to the department shall be 10 days. Extension of the maximum period of hospitalization shall be allowed when due to acute medical complications and emergencies associated with or arising out of the provision of physical or

mental restoration services. Treatment of acute medical complications or emergencies which impact negatively on the individual's progress toward the individual's vocational goal, shall be provided.

- training, and the examination and services necessary for the prescription and provision of eyeglasses, contact lenses, microscopic lenses, telescopic lenses, and other special visual aids prescribed by personnel that are qualified in accordance with state licensure laws. These services may be provided to an individual when their visual disability, as established by an opthalmological or an optometric examination, is of such severity that their employment opportunities are considerably limited. Visual services shall be provided by the department in accordance with the cooperative agreement established with the department for the visually handicapped. Visual aids may also be provided to individuals who are unable to satisfactorily pursue their vocational rehabilitation program due to impaired vision.;
- (6) Nursing services:
- (7) Physical restoration in a rehabilitation facility.

- (8) Physical and occupational therapy, when prescribed by a doctor of medicine;
- (9) ____Prosthetic, and orthotic, or other assistive devices, including hearing aids appliances; . The department may purchase an original appliance only upon the recommendation of the medical specialist. When an individual has a history of satisfactory appliance use and the general medical examination report indicates no pathological change, this report may be sufficient medical basis for the replacement or repair of the appliance. The department shall purchase prosthetic or orthotic appliances from vendors approved in accordance with the department's vendor approval process.
- (10) ___Psychiatric treatment; Mental health services, or diagnosis of and treatment for mental or emotional disorders by qualified personnel in accordance with state licensure laws shall be provided by a psychiatrist or psychologist. If the department purchases the services from either, they must be qualified in the area of psychotherapy and be licensed in accordance with the laws of the-.commonwealth. The maximum number of sessions to be sponsored shall be 27. If the individual needs additional

psychotherapy, the department will make an effort to assist the individual in securing it.

- (11) Speech or hearing therapy; Speech therapy may be provided to individuals when treatment is recommended by a speech pathologist who is licensed in accordance with the laws of the commonwealth. Hearing aid orientation and lip reading may be provided when recommended by a specialist in hearing disabilities.
- (12) <u>Surgical treatment Corrective surgery or therapeutic treatment that</u>
 is likely, within a reasonable period of time, to correct or substantially
 modify a stable or slowly progressive physical or mental impairment that
 constitutes a substantial impediment to employment;
- (13)___Telecommunications, sensory and other technological aids and devices; and Podiatry.
- (14) ___Treatment of <u>either acute or chronic</u> medical complications and emergencies, <u>either acute or chronic</u>, <u>whichthat</u> are associated with, or arise out of the provision of physical <u>and mental</u> restoration services or that are inherent in the condition under treatment.

PROVISION OF VOCATIONAL REHABILITATION SERVICES

- (15) Special services for the treatment of individuals with end stage renal disease, including transplantation, dialysis, artificial kidneys, and supplies.
- (16) Chiropractic services, after consultation with a doctor of medicine.
- (17) Cardiac exercise therapy for individuals who have had a myocardial infarction or a coronary bypass not more than six months prior to the recommended exercise therapy. A maximum of 24 sessions may be authorized.
- (18) Other medical or medically related rehabilitation services.
- b.____ Eligibility requirements.
 - (1) ____Stable or slowly progressive. The physical or mental condition must be stable or slowly progressive. The condition must not be acute or transitory, or of such recent origin that the resulting functional limitations and the extent to which the limitations affect occupational performance cannot be identified.

PROVISION OF VOCATIONAL REHABILITATION SERVICES

- (2) _____Refusal of service. When an elient individual has a physical or mental disability with resulting limitations that constitute a handicap to employment, and when in the opinion of licensed medical personnel these limitations can be removed by physical or mental restoration services without injury to the individual, they shall not be eligible for any rehabilitation services, except counseling, guidance and placement if they refuse to accept the appropriate physical or mental restoration services. A second opinion may be provided at the elient individual's request. In the event of conflicting medical opinions, the department shall secure a third opinion and the decision shall be made on the two concurring opinions.
- c. Provision of physical and mental restoration services. These services are provided only when:
 - (1) Recommended by a licensed practitioner;
 - (2) Services are not available from another source; and
 - (3)____They are provided in conjunction with counseling and guidance, and other services, as deemed appropriate.

The department shall not make case expenditures for acute or intermediate medical care except for medical complications and emergencies which are associated with or arise out of the provision of Vocational Rehabilitation (VR) services under an IWRP and which are inherent in the condition under treatment.

d.____ Convalescent and nursing home care. The department may, when the services are directly related to the vocational rehabilitation objective, pay for convalescent and nursing home care for a client who needs continued medical supervision after department sponsored treatment for their condition. This service must be recommended by the proper medical practitioner before the service is authorized, and be contingent upon the client being able to reengage in the Vocational Rehabilitation Program.

(1) This service may be provided for 30 days.

(2)The commissioner or his designee may approve an additional 30 days of service.

- e. Services not sponsored by the department. The board, in consultation with appropriate medical resources, shall determine those physical restoration services which shall not be provided by the department. The following circumstances or conditions shall be considered:
 - (1) Experimental procedures shall not be sponsored;
 - (2) High risk procedures;

- (3) Procedures with limited vocational outcomes;
- (4) Excessively high cost procedures; and
- (5)4. Procedures with uncertain outcomes.
- f. Hospitalization. The department may pay for hospitalization for medical diagnosis, surgical or medical treatment when deemed necessary for the vocational rehabilitation of the client and recommended by a licensed practitioner. Hospitalization shall be provided in hospitals, medically oriented treatment facilities, or continuing care facilities in Virginia or out-of-state, with which the department has a contract. Payment to hospitals, medically oriented treatment facilities, or continuing care facilities shall be made in accordance with the department fee schedules.
- (1)The maximum period of hospitalization, excluding diagnostic, to be authorized based upon financial resources available to the department shall be 10 days.
- (2)Extension of the maximum period of hospitalization shall be allowed when due to acute medical complications and emergencies associated with or arising out of the provision of physical or mental restoration services.
- (3)Treatment of acute medical complications or emergencies which impact negatively on the client's progress toward the client's vocational goal, shall be provided.

PROVISION OF VOCATIONAL REHABILITATION SERVICES

- g. Medication. When medication is to be continuous, e.g., treatment of diabetes or epilepsy, and while the client is receiving vocational training, the department may purchase medication during the training period, and for a period not to exceed 90 days after achieving employment.

 When counseling, medication and placement are the only services provided, the department may pay for medication for a period not to exceed 90 days. Generic drugs shall be utilized when possible.
- h. Physical and occupational therapy. The department may pay for physical and occupational therapy when it is prescribed by a doctor of medicine.
- i. Chiropractic service. The department may pay for chiropractic services after consultation with a doctor of medicine.
- j. Cardiac exercise therapy. This service shall be sponsored by the department for clients who have had a myocardial infarction or a coronary bypass not more than six months prior to the recommended exercise therapy. A maximum of 24 sessions may be authorized.
- k. Prosthetic and orthotic appliances purchase and repair. The department may purchase an original appliance only upon the recommendation of the medical specialist. When a client has a history of satisfactory appliance use and the general medical examination report indicates no pathological change, this report may be sufficient medical basis for the replacement or repair of the appliance. The department shall purchase prosthetic or orthotic appliances from vendors approved in accordance with the department's vendor approval process.
- l. Psychotherapy. Psychotherapy shall be provided by a psychiatrist or psychologist. If the department purchases the psychotherapy from either, they must be qualified in the area of

PROVISION OF VOCATIONAL REHABILITATION SERVICES

psychotherapy and be licensed in accordance with the laws of the Commonwealth. The maximum number of sessions to be sponsored shall be 27. If the client needs additional psychotherapy, the department will make an effort to assist the client in securing it.

m. Speech and hearing therapies.

- (1)Speech. Speech therapy may be provided to clients when treatment is recommended by a speech pathologist who is licensed in accordance with the laws of the Commonwealth.
- (2)Hearing. Hearing aid orientation and lip reading may be provided when recommended by a specialist in hearing disabilities.

n. Visual services. Services may be provided to a client when their visual disability, as established by an opthalmological or an optometric examination, is of such severity that their employment opportunities are considerably limited.

Visual services shall be provided by the department in accordance with the cooperative agreement established with the department for the visually handicapped. Visual aids may also be provided to clients who are unable to satisfactorily pursue their vocational rehabilitation program due to impaired vision.

4.-____Vocational and other training services, including personal and vocational adjustment training, books, tools, and other training materials, except that no training or training services in institutions of higher education (universities, colleges, community/junior colleges, vocational schools, technical institutes, or hospital schools of nursing) may be paid for with funds under this section unless maximum efforts have been

PROVISION OF VOCATIONAL REHABILITATION SERVICES

made by the state unit and the individual to secure grant assistance in whole or in part from other sources to pay for that training.

All training services provided shall be related to attainment of the vocational objective or provide for the determination of eligibility for vocational rehabilitation services. Vocational training includes any organized form of instruction which provides the knowledge and skills essential for performing the tasks involved in an occupation. Vocational training may be obtained in institutions such as colleges, universities, business schools, nursing schools and trade and technical schools. It may also be obtained by on-the-job training, apprenticeship programs, tutorial training, or correspondence study.

- a. Business schools and business colleges, trade and technical schools, and two-year college terminal courses. The training institution selected shall be approved in accordance with the department's vendor approval process.
- b. College and university academic training.
 - (1) Academic requirements. The <u>client_individual</u> shall take sufficient academic credit hours based on the requirement of the college attended for classification as a full-time student, unless this is, in the opinion of the department, contraindicated by the <u>client_individual</u>'s disability. Courses

shall meet the institution's requirement towards the obtainment of the degree or certificate. Continuation of financial assistance by the department shall be dependent upon the <u>clientindividual</u> maintaining a "C" average calculated on an academic year. When the <u>clientindividual</u> fails to maintain a "C" average, assistance may be discontinued. The department's assistance may be reinstated when the <u>clientindividual</u> completes one semester or quarter with a minimum of a "C" average.

Each <u>elientindividual</u> shall be advised that failure to provide grades to the department shall be grounds for termination of departmental financial assistance.

- (2) graduate degree program. The department shall assist only elientindividuals with severe disabilities in securing a graduate degree and only when it is judged essential to achieving employment.
- (3) Virginia colleges and universities. Vocational training, including college or university training, shall be provided by the department in any department approved institution located within the boundaries of the Commonwealth, unless such training is not available within the Commonwealth. Institutions in the areas of Washington, D.C.; Bristol-Johnson City-Kingsport, Tennessee; the city of Bluefield, West Virginia;

PROVISION OF VOCATIONAL REHABILITATION SERVICES

and other cities where the services may be provided more effectively and economically and shall be treated as if located in Virginia.

(4) Tuition and mandatory fees. The department may pay tuition for college and university training in an amount not in excess of the highest amount charged for tuition by a state-supported institution or the rate published in the catalog, whichever is less, except where out-of-state college is necessary, published tuition costs may be paid.

Any <u>clientindividual</u> enrolling into any college/university course or courses for the primary purpose of course or program certification and not for the purpose of obtaining a degree shall be exempt from the application of the annual maximum tuition rate.

(5) Scholarships and grants. Training services in institutions of higher education shall be paid for with departmental funds only after maximum efforts have been made by the <u>clientindividual</u> to secure assistance in whole or in part from other sources; however, any <u>clientindividual</u> eligible for vocational rehabilitation training services but not meeting the financial need test of the department may be provided an assistance grant annually in an amount not to exceed the equivalent of one quarter's tuition of a full time community college student.

c. Correspondence study. The correspondence study training may be authorized only when:

- (1) The <u>client individual</u> requires specific preliminary training in order to enter a training program; or
- (2) Training cannot be arranged by any other method; and
- (3) Satisfactory progress is maintained.
- d. On-the-job training. The department may enter into agreements with employers in the private or public sector to provide on-the-job training services. The terms and conditions of each individual agreement shall be established by the department.
- e. Part-time training. Part-time training may be utilized only when the severity of the <u>clientindividual</u>'s disability shall not allow the <u>clientindividual</u> to pursue training on a full-time basis.

Part-time training shall be authorized only at department approved facilities and schools.

- f. Work adjustment training. Work adjustment training may be provided if needed for the <u>clientindividual</u> to engage in subsequent vocational rehabilitation services as indicated by the thorough diagnostic study assessment of medical, vocational, psychological, and other factors. This service may be provided only by the department or approved vendors.
- g. Prevocational training. Prevocational training may be provided if needed for the <u>clientindividual</u> to engage in subsequent vocational rehabilitation services as indicated by the thorough diagnostic study assessment of medical, vocational, psychological, and other factors. This service may be provided only by the department or approved vendors.
- h. Tutorial training. Tutorial training may be provided if needed for the elient individual to achieve a vocational goal as indicated by the thorough diagnostic study assessment of medical, vocational, psychological and other factors. This service may be provided only by the department or approved vendors.
- i. Other higher education training concerns.

- (1) Required textbooks and supplies. The maximum amount of department financial assistance for required textbooks and supplies (pencils, paper, etc.) shall be \$400 annually for a normal school year or \$500 if summer school is attended.
- (2) Required training materials. Training materials may be provided when required by the instructor.
- 5. Maintenance, including payments, not exceeding the estimated cost of subsistence and provided at any time after vocational rehabilitation services have begun through the time when post-employment services are being provided. Maintenance covers a client's basic living expenses, such as food, shelter, clothing, and other subsistence expenses which are necessary to support and derive the full benefit of the other vocational rehabilitation services being provided. in accordance with the definition of that term in 22 VAC 30-20-10.
 - a. Clothes. Clothes are provided when specifically required for participation in a training program or for placement in a specialized job area as determined by the department.

- b. Room, board and utilities. The maximum rate paid for room, board and utilities shall be established annually by the board.
- c. Training cases. The maximum amount of department financial assistance for room and board at a training institution (college, vocational school, rehabilitation center facility), when the institution is able to provide room and board, shall not exceed the published room and board rates charged by the institution, or the actual cost, whichever is less.
- d. While living at home. Maintenance shall be provided for a client individual living at home only when the client individual's income supports the family unit of the client individual, when it is more cost effective for the department, or when it is in the best interest of the client individual's vocational rehabilitation program based on mutual agreement of the rehabilitation counselor and the client individual.
- 6. Transportation, including necessary travel and related expenses including subsistence during travel (or per diem payments in lieu of subsistence) in connection with transporting clients and their attendants or escorts for the purpose of supporting and deriving the full benefit of the other vocational rehabilitation services being provided in connection with the rendering of any vocational rehabilitation service, and in accordance

with the definition of that term in 22 VAC 30-20-10. Transportation may include relocation and moving expenses necessary for achieving a vocational rehabilitation objective.

 a. Transportation costs. The department shall pay the most economical rate for accessible public transportation.

When public transportation is not available, or the <u>clientindividual</u>, because of disability, cannot travel by public transportation, transportation may be provided at a rate not to exceed \$.12 a mile.

b. For and during training services. When the <u>clientindividual</u> must live at the training location, the department may only pay for a one-way trip from the residence to the training location at the beginning of the training, and a one-way trip from the training location to the residence or job site at the conclusion of the training program. Transportation may be paid to and from the residence in case of emergency (severe illness, or death in family; acute business emergency or prolonged school closing such as Christmas holidays). Local bus fare may be furnished also. When the <u>clientindividual</u>'s physical condition is such that travel by public conveyance is impossible, taxi fare may be allowed from place of residence to training site and return. When the <u>clientindividual</u> lives at home and

PROVISION OF VOCATIONAL REHABILITATION SERVICES

the training site requires daily transportation, the cost of such transportation may be paid.

- 7. Services Vocational rehabilitation services to members of client's family members of an applicant or eligible individual when if necessary to the vocational rehabilitation of the clientenable the applicant or eligible individual to achieve an employment outcome. Services to family members of the client individual may be provided when such services may be expected to contribute substantially to the determination of rehabilitation potential or to the rehabilitation of the client individual. In order for the department to furnish these services, they shall not be available from any other source.
 - a. Family member is defined as any relative by blood or marriage living in the same household.
 - b. Day care services for dependent children. The department may pay up to the amount paid per child, per day, by the local social services department in the locality in which the child is located. When more than one child is involved, rates for the additional children should be lower. When satisfactory accommodations can be secured at a rate lower than that paid by the local social services department, the lower rate shall be paid by the department.

- 8. Interpreter services and note taking services for <u>individuals who are the deaf</u>; and <u>communication impaired</u>, including tactile interpreting <u>services</u> for <u>individuals who are</u> deaf-blind-clients.; and reader services, rehabilitation teaching services, and orientation and mobility services for individuals who are blind.
 - a. Upon request of the <u>clientindividual</u> or as needed, these services may be provided at any stage during the rehabilitation process. Interpreting may be primarily in the form of sign language (manual method) or oral interpretation (oral method).
 - b. The department shall pay for interpreting services when these services contribute to the clientindividual's vocational rehabilitation program for those clientindividual's who meet the department's financial need criteria.
 - c. The interpreter must be, whenever possible, certified by the National
 Registry of the Deaf, Virginia Registry of the Deaf, or approved by the Virginia
 Department for the Deaf and Hard of Hearing.
 - d. When <u>clientindividual</u>s with deafness are in a training program, the department shall arrange for note taking or reader services, unless the <u>clientindividual</u> indicates such service is not needed or desired.

- 9. Telecommunications, sensory and other technological aids and devices when they may be expected to contribute substantially to the vocational rehabilitation of the elientRehabilitation technology in accordance with the definition of that term in 22 VAC 30-20-10, including vehicular modification, telecommunications, sensory, and other technological aids and devices.
 - a. Telecommunications system. Services related to use of a telecommunications system shall meet established federal or state health and safety standards and be consistent with written state policies.
 - b. Sensory and other technological aids and devices. The department may provide electronic or mechanical pieces of equipment or hardware intended to improve or substitute for one or more of the human senses, or for impaired mobility, or motor coordination.

Services related to use of sensory and other technological aids and devices shall meet established federal or state health and safety standards and be consistent with state law and regulations.

(1) An otological evaluation may be, and an audiological examination is required before the department may purchase a hearing aid.

- (2) The department shall purchase hearing aids only for those elients individuals identified as benefiting in terms of employability as a direct result of such aid.
- (3) Cross and bicross aids may be purchased only when it is justifiable on the basis of the vocational objective.
- (4) Eyeglasses and hearing aids may be purchased only when they are equal in performance in terms of volume and speech discrimination and if the cost is not higher than that of a comparable body aid or a behind the ear aid.
- 10. Recruitment and training services to provide new employment opportunities in the fields of rehabilitation, health, welfare, public safety, law enforcement and other appropriate public service employment. These services shall be provided in accordance with the training criteria set forth in subdivision 12 (4) of this section.
- 11. <u>Job search and Pplacement assistance in suitable employment and job retention</u> services. Placement shall be in accordance with the mutually agreed upon vocational

objective and is the responsibility of the <u>clientindividual</u> and the department, particularly the rehabilitation counselor.

12. Supported employment services in accordance with the definition of that term in 22 VAC 30-20-10; personal assistance services in accordance with the definition of that term in 22 VAC 30-20-10; and Ppost-employment services necessary to maintain suitable employment. Post-employment services are vocational rehabilitation services provided to clients who need such services to maintain employment after the case of the client has been closed as successfully rehabilitated, in accordance with the definition of that term in 22 VAC 30-20-10.

Selection criteria. Any rehabilitated <u>clients individuals</u> may be considered for post-employment services. The department may evaluate with each <u>clientindividual</u> the need for such services. All of the following criteria shall be met for the selection of <u>clients individuals</u> to receive post-employment services:

- (1) The <u>clientindividual</u> has been determined to be rehabilitated;
- (2) The disabling medical condition shall be stable or slowly progressive;
- (3) Post-employment services are necessary to assist the <u>client individual</u> in maintaining employment; and

(4) Solution of the problem does not require a complex or comprehensive rehabilitation effort, i.e., a new and distinct handicapping condition has not occurred which should be handled as a new case.

If needed services exceed any of the aforementioned conditions, the department may take a new application.

- 13. The agency may provide supported Supported employment services, in accordance with the definition of that term in 22 VAC 30-20-10, to any individual with the most severe disabilities who:
 - a. Has not worked, or has worked only intermittently, in competitive employment.
 - b. Has been determined on the basis of any evaluation of rehabilitation and career needs, including a consideration of whether supported employment is a possible vocational outcome, to meet the eligibility criteria for the State
 Vocational Rehabilitation Services Program as established in federal regulations.
 - Has a need for ongoing support services in order to perform competitive work.

PROVISION OF VOCATIONAL REHABILITATION SERVICES

The following activities are authorized under this program:

- a. Evaluation of rehabilitation and career needs of individuals with the most severe disabilities in terms of a supported employment outcome.
- Development of and placement in jobs for individuals with the most severe disabilities.
- Provision of time-limited services needed to support individuals with the
 most severe disabilities in employment including:
 - (1) Intensive on-the-job skills training provided by skilled job trainers, coworkers, and other qualified individuals.
 - (2) Ongoing support services needed to support and maintain an individual's supported employment placement. These must include, at a minimum, twice monthly monitoring to assess the individual's employment stability. Monitoring activities generally take place at the work site unless the individualized written rehabilitation plan provides for off-site monitoring. If off-site monitoring is determined to be appropriate,

PROVISION OF VOCATIONAL REHABILITATION SERVICES

it must, at a minimum, consist of two meetings with the individual and one contact with the employer each month.

- (3) Follow-up services designed to reinforce and stabilize the job placement.
- (4) Discrete post-employment services unavailable from the extended services provider that are necessary to maintain the job placement, including but not limited to job station redesign, repair and maintenance of assistive technology, and replacement of prosthetic and orthotic devices.

Transitional employment services for individuals with chronic mental illness may be provided under the State Supported Employment Program. Transitional employment means a series of temporary job placements in competitive work in an integrated work setting with ongoing support services. Ongoing support services must include continuing sequential job placements until job permanency is achieved.

The agency shall provide for the transition of an individual with the most severe disabilities to extended services no later than 18 months after placement in supported employment, unless a longer period to achieve job stabilization has been established in

PROVISION OF VOCATIONAL REHABILITATION SERVICES

the individualized written rehabilitation program, before an individual with the most severe disabilities makes the transition to extended services.

Supported employment services must be provided in an integrated work setting which means that (i) most employees at that work setting are not disabled; (ii) the supported employee interacts on a regular basis, in the performance of job duties, with employees who do not have disabilities; and (iii) if the supported employee is part of a distinct work group comprised only of individuals with disabilities, the work group consists of no more than eight individuals.

If there are no other employees or the only other employees are individuals who are part of a work group as described in subdivision (iii) above, the supported employee must interact on a regular basis, in the performance of job duties, with individuals without disabilities, including members of the general public. The required interaction cannot be satisfied by contact between an individual with the most severe disabilities and individuals who provide ongoing support services at the job site.

14. Occupational licenses, including any license, permit, or other written authority required by a state, city, or other governmental unit to be obtained in order to enter an occupation or enter a small business, tools, equipment, initial stocks (including livestock), and supplies.

- a. Licenses. Licenses required for entrance into selected vocations may be
 provided. These may be occupational or business licenses as required by the local
 governing body, state board examinations required by the Department of
 Professional and Occupational Regulation, and motor vehicle operator's license.
- b. Tools and equipment. Tools and equipment shall be provided for a elientindividual when:
 - (1) They are required for a job or occupation that is best suited to the utilization of their abilities and skills;
 - (2) The employer does not ordinarily furnish these articles; and
 - (3) They are for the exclusive use of the <u>clientindividual</u>.

Such articles shall be for the <u>clientindividual</u>'s own use in the performance of his work and must remain in his possession and under his control as long as he engages in the job or occupation for which they are provided.

If the <u>clientindividual</u> alleges that tools and equipment are stolen, the <u>clientindividual</u> shall file a stolen property report with the local police.

Computer equipment and software shall be provided either if required as indicated in subparagraph b (1) (2) and (3) above or if it is necessary for vocational training. The department's financial participation in the cost of such equipment and software shall not exceed \$3,500.

- c. Title retention and release. The department shall comply with state law on the retention of title and release of title of equipment to <u>clients individuals</u>.
- d. Repossession of tools and equipment. The department shall repossess all occupational tools and equipment to which the department retains title when they are no longer being used for the purposes intended by the elient_individual for whom they were purchased.
- 15. Transition services in accordance with the definition of that term in 22 VAC 30-20-10.
- 1516. Other goods and services deemed determined necessary to the vocational rehabilitation objective of the client for the individual with a disability to achieve an employment outcome. These include, but are not limited to, such services as: peer counseling, independent living skills training, attendant care and attendant training if they can reasonably be expected to benefit a elient individual in terms of employability.

PROVISION OF VOCATIONAL REHABILITATION SERVICES

The department's financial participation in the cost of certain goods and services shall be limited as follows: home modifications, \$7,500; and vehicle modifications, \$7,500. The department shall not purchase or participate in the purchase of automotive vehicles.

1617. Services to groups. The department may provide services to groups of persons individuals with disabilities when the services may contribute substantially to the needs of the group, although they are not related directly to the IWRP of any one person with a disability.

Cross References

Consideration as to vocational rehabilitation services, 22VAC30-20-170.

22 VAC 30-20-130. Clients determined to be rehabilitated Individuals determined to have achieved an employment outcome In order to make a determination that a client has been rehabilitated, the minimum requirements to be An individual is determined to have achieved an employment outcome only if the following requirements have been met shall be that the client was:

- 1. Determined to be eligible under 22VAC30-20-40 The provision of services under the individual's IWRP has contributed to the achievement of an employment outcome;
- 2. Provided an evaluation of vocational rehabilitation potential and counseling and guidance as essential vocational rehabilitation services The employment outcome is consistent with the individual's strengths, resources, priorities, concerns, abilities, capabilities, interests, and informed choice;
- 3.- Provided appropriate and substantial vocational rehabilitation services in accordance with the individual written rehabilitation programThe employment outcome is in the most integrated setting possible, consistent with the individual's informed choice; and
- 4. Determined to have achieved and maintained a suitable The individual has maintained the employment goal outcome for a period of at least 60-90 days; and
- 5. At the end of the appropriate period under this section, the individual and the rehabilitation counselor or coordinator consider the employment outcome to be satisfactory and agree that the individual is performing well on the job.

Cross references:

22 VAC 30-20-200

22 VAC 30-20-140. Authorization of services.

Written authorization shall be made, either before or at the same time as the purchase of services. When an oral authorization is given in an emergency situation, there shall be prompt documentation and the authorization shall be confirmed in writing and forwarded to the provider of the services.

22_VAC_30-20-150. Written Standards standards for facilities and providers of services.

Regulations for this section are under development. Until the regulations are in final draft form for public comment, the Department of Rehabilitative Services will continue to operate under existing policies. The designated state unit shall establish, maintain, make available to the public, and implement written minimum standards for the various types of facilities and providers of services used by the state unit in providing vocational rehabilitation services, in accordance with the following requirements:

1. Accessibility of facilities. Any facility in which vocational rehabilitation services are provided must be accessible to individuals receiving services and must comply with the requirements of the Architectural Barriers Act of 1968, the Uniform Accessibility

Standards and their implementing regulations in 41 CFR Part 101, subpart 101-19.6, the

Americans with Disabilities Act of 1990, and section 504 of the Rehabilitation Act of 1973, as amended.

2. Personnel Standards.

- a. Qualified personnel. Providers of vocational rehabilitation services shall use qualified personnel, in accordance with any applicable national or State-approved or -recognized certification, licensing, or registration requirements, or, in the absence of these requirements, other comparable requirements (including state personnel requirements), that apply to the profession or discipline in which that category of personnel is providing vocational rehabilitation services.
- b. Affirmative action. Providers of vocational rehabilitation services shall
 take affirmative action to employ and advance in employment qualified
 individuals with disabilities.
- c. Special communication needs personnel. Providers of vocational rehabilitation services shall include among their personnel, or obtain the services of, individuals able to communicate in the native languages of applicants and eligible individuals who have limited English speaking ability; and ensure that

PROVISION OF VOCATIONAL REHABILITATION SERVICES

appropriate modes of communication for all applicants and eligible individuals are used.

3. Fraud, waste, and abuse. Providers of vocational rehabilitation services shall have adequate and appropriate policies and procedures to prevent fraud, waste, and abuse.

22_VAC_30-20-160. Participation by the handicapped of individuals in the cost of vocational rehabilitation services based on financial need.

- A. An economic financial need test is established because of the limited resources of the department.
- B. An economic <u>financial</u> need test shall be utilized to determine the extent of <u>elient</u> participation <u>by eligible individuals or individuals receiving services during an extended evaluation in the cost of vocational rehabilitation services.</u>
 - 1. The state unit shall maintain written policies covering the determination of financial need;

- The state plan must specify the types of vocational rehabilitation services for which the unit has established a financial needs test. Services exempt from consideration for financial participation shall be No financial needs test shall be applied and no financial participation shall be required as a condition for furnishing the following vocational rehabilitation services: diagnostic and evaluation assessment for determining eligibility and priority for services, except those non-assessment services that are provided during an extended evaluation for an individual with a severe disability; assessment for determining vocational rehabilitation needs; counseling, guidance and referral services, job-placement services, on-the-job training, and unpaid work experience. Also excluded from financial participation shall be services necessary to assist in the diagnostic and evaluation process such as transportation, maintenance, and interpreter service for the deaf. Services which require an economic need test are: physical and mental restoration; training other than on-the-job training (OJT); maintenance; transportation; services to family members; interpreter and reader services; telecommunications; recruitment and training services; post-employment; occupational licenses and other goods and services.
- 3. The policies must be applied uniformly to all individuals in similar circumstances; the policies may require different levels of need for different geographic regions in the state, but must be applied uniformly to all individuals

PROVISION OF VOCATIONAL REHABILITATION SERVICES

within each geographic region; and the policies must ensure that the level of an individual's participation in the cost of vocational rehabilitation services is reasonable, based on the individual's financial need, including consideration of any disability-related expenses paid by the individual, and not so high as to effectively deny the individual a necessary service.

C. Groups exempt are:

occurrence of either:

- 1. Recipients of General Relief.
- 2. Recipients of Aid to Families with Dependent Children Temporary

 Assistance for Needy Families (TANF) by the client individual or family in on

 which the client individual is dependent.
- D. The department shall make an assessment of similar benefits available to pay for vocational rehabilitation services. The department shall not pay program costs which could otherwise be provided by similar benefits unless it is documented that securing such benefits would significantly delay the provision of services to the client.

 E. Income and resources of the family are to be used when the client is a part of the family unit. The client is a part of the parent or legal guardian family unit upon

1	. Dependency of support evidenced on	the las	st federal	income ta	x return of
the par	urent or legal guardian regardless of reside	ency; c	or		

- 2. When temporarily absent from the home due to illness, school, vacation, or military leave.
- FE . The financial need test shall consider the following income:
 - 1. Annual taxable income (gross income).
 - 2. Annual nontaxable income such as social security, retirement benefits, workmen's workers' compensation, and veteran's benefits.
 - 3. Total cash assets, including checking and savings accounts, certificates, stocks, and bonds.
- <u>F.</u> The financial need test shall provide for the following allowances and exclusions:
 - 1 . The gross income shall be adjusted by the percentage indicated in the table below:

PROVISION OF VOCATIONAL REHABILITATION SERVICES

Gross Income Allowance					
Under \$10,000 15%					
\$10,000 to \$14,99920%					
\$15,000 to \$24,99925%					
\$25,000 to \$34,99930%					
Over \$34,999 35%					
2. Income shall be excluded from consideration based upon family size using the table below:					
Size of Family Income Exclusion					
1\$10,608					
2 \$13,143					

3	\$15,678
4	\$18,213
5	\$20,748
6	\$23,283
7	\$25,818
8	\$28,353

For each additional dependent, add \$2,535.

The table above is based upon the federal law income for a family of four. It shall be updated annually by the department.

3. Excluded from income shall be estimated client cost specifically related to the client's disability and not covered by similar-comparable services and benefits.

PROVISION OF VOCATIONAL REHABILITATION SERVICES

- 4. Excluded from cash assets is \$5,000.
- 5. Individual retirement accounts shall be excluded from income considerations.
- G. Determination of the annual client financial contribution results from an examination of: (i) the number of persons in the family unit; (ii) annual taxable income minus allowances; (iii) annual nontaxable income; (iv) cash assets minus exclusions; and (v) exceptional exclusions based on client cost specifically related to client's disability.

 The financial resources to be considered shall be tabulated using the method noted herein. The positive balance (resources exceeding exclusions) shall be determined to be available for participation in the rehabilitation program.

Cross references:

22 VAC 30-20-170

22 VAC 30-20-170. Consideration of similar Availability of comparable services and benefits.

A. Consideration shall be given, in all cases, to any similar benefits available to a client, or to members of a client's family, under any program to meet, in whole or in part, the cost of any vocational rehabilitation services except the following:

1	Evaluation	of vocational	rehabilitation	notential	evcent ac	provided	under
T	. Lvaidation	or vocationar	Tendomidation	potentia	слеері ав	provided	under
cubdivision CA							
SUIDUI VISIONI CONT							

- 2 . Counseling, guidance, and referral;
- 3 . Vocational and other training services, including personal and vocational adjustment training, books, tools, and other training materials, which are not provided in institutions of higher education;
- 4. Placement:
- 5. Post employment services consisting of the services listed in 22VAC30-20-120.
- 6. Physical and mental restoration services and maintenance, when the similar benefit would significantly delay the provision of services to the client; and
- 7. When they are not adequate and would interfere with achieving the rehabilitation objective of the client. Prior to providing any vocational rehabilitation services to an eligible individual, or to members of the individual's family, except those

services listed in subsection D of this section, the state unit shall determine whether comparable services and benefits exist under any other program and whether those services and benefits are available to the individual.

- B. ____ The department shall consider the availability of third party resources to cover part or all of the cost; the availability of the client's resources or the client's family resources to cover part or all of the cost; and the availability of department resources to cover part or all of the cost when other resources are insufficient. If comparable services or benefits exist under any other program and are available to the eligible individual at the time needed to achieve the rehabilitation objectives in the individual's IWRP, the state unit shall use those comparable services or benefits to meet, in whole or in part, the cost of vocational rehabilitation services.
- C. If comparable services or benefits exist under any other program, but are not available to the individual at the time needed to achieve the rehabilitation objectives in the individual's IWRP, the state unit shall provide vocational rehabilitation services until those comparable services and benefits become available.
- D. The following services are exempt from a determination of the availability of comparable services and benefits under subsection A of this section: assessment for determining eligibility and priority for services; assessment for determining vocational

rehabilitation needs; vocational rehabilitation counseling, guidance, and referral services; vocational and other training services, such as personal and vocational adjustment training, books (including alternative format books accessible by computer and taped books), tools, and other training materials in accordance with 34 CFR 361.48 (a)(6); placement services; rehabilitation technology; and post-employment services consisting of those services listed in this subsection.

E. The requirements of subsection A of this section also do not apply if the determination of the availability of comparable services and benefits under any other program would delay the provision of vocational rehabilitation services to any individual who is determined to be at extreme medical risk, based on medical evidence provided by an appropriate qualified medical professional; or an immediate job placement would be lost due to a delay in the provision of comparable services and benefits.

22 VAC 30-20-180. [Repealed]

22 VAC 30-20-181. Review of rehabilitation counselor or coordinator determinations.

A. The state plan must contain procedures, including standards of review under subdivision D 7 of this section, established by the Commissioner of the Department of

PROVISION OF VOCATIONAL REHABILITATION SERVICES

Rehabilitative Services to ensure that any applicant or eligible individual who is dissatisfied with any determinations made by a rehabilitation counselor or coordinator concerning the furnishing or denial of services may request, or, if appropriate, may request through the individual's representative, a timely review of those determinations. The procedures established by the Commissioner of the Department of Rehabilitative Services must be in accordance with this section.

- B. Informal resolution. The Department of Rehabilitative Services may establish an informal process to resolve a request for review without conducting a formal hearing. However, the informal process must be conducted and concluded within the time period established under subdivision D 1 of this section for holding a formal hearing. If informal resolution is not successful, a formal hearing must be conducted by the end of this same period, unless the parties agree to a specific extension of time.
- C. Formal hearing procedures.
 - 1. Impartial hearing officer means an individual who:
 - a. Is not an employee of a public agency (other than an administrative law judge, hearing examiner, or employee of an institution of higher education);

- Is not a member of the State Rehabilitation Advisory Council for the Department of Rehabilitative Services;
- c. Has not been involved in previous decisions regarding the vocational rehabilitation of the applicant or eligible individual;
- d. Has knowledge of the delivery of vocational rehabilitation
 services, the state plan, and the federal and state regulations governing the provision of services;
- e. . . Has received training with respect to the performance of official duties; and
- f. Has no personal, professional, or financial interest that would be in conflict with the objectivity of the individual.
- 2. An individual may not be considered to be an employee of a public agency for the purposes of this definition solely because the individual is paid by the agency to serve as a hearing officer.

- D. Except as provided in subsection F of this section, the <u>The</u> department shall establish formal review procedures that provide that:
 - 1.____ A hearing by an impartial hearing officer, selected in accordance with subsection E of this section, must be held within 45 days of an individual's request for review, unless informal resolution is achieved prior to the 45th day or the parties agree to a specific extension of time;
 - 2. ____ The department may not institute a suspension, reduction, or termination of services being provided under an IWRP pending a final determination of the formal hearing under this subdivision or informal resolution under subsection B of this section, unless the individual or, in an appropriate case, the individual's representative so requests or the agency has evidence that the services have been obtained through misrepresentation, fraud, collusion, or criminal conduct on the part of the individual;
 - 3. ____ The individual or, if appropriate, the individual's representative must be afforded an opportunity to present additional evidence, information, and witnesses to the impartial hearing officer, to be represented by counsel or other appropriate advocate, and to examine all witnesses and other relevant sources of information and evidence;

- 4. ____ The impartial hearing officer shall make a decision based on the provisions of the approved state plan, the federal Rehabilitation Act of 1973 as amended (the Act), federal vocational rehabilitation regulations, and state regulations and policies that are consistent with federal requirements and shall provide to the individual or, if appropriate, the individual's representative and to the commissioner a full written report of the findings and grounds for the decision within 30 days of the completion of the hearing;
- 5.____ If the commissioner decides to review the decision of the impartial hearing officer, the commissioner shall notify in writing the individual or, if appropriate, the individual's representative of that intent within 20 days of the mailing of the impartial hearing officer's decision;
- 6._____ If the commissioner fails to provide the notice required by subdivision 5 of this subsection, the impartial hearing officer's decision becomes a final decision;
- 7. ____ The decision of the commissioner to review any impartial hearing officer's decision must be based on the standards of review contained in written departmental policy.

- 8. ____ If the commissioner decides to review the decision of the impartial hearing officer, the commissioner shall provide the individual or, if appropriate, the individual's representative an opportunity to submit additional evidence and information relevant to the final decision;
- 9. ____ The commissioner may not overturn or modify a decision, or part of a decision, of an impartial hearing officer that supports the position of the individual unless the commissioner concludes, based on clear and convincing evidence, that the decision of the impartial hearing officer is clearly erroneous because it is contrary to the approved state plan, the federal Rehabilitation Act, federal vocational rehabilitation regulations, or state regulations or policies that are consistent with federal requirements;
- 10. Within 30 days of providing notice of intent to review the impartial hearing officer's decision, the commissioner shall make a final decision and provide a full report in writing of the decision, including the findings and the statutory, regulatory, or policy grounds for the decision, to the individual or, if appropriate, the individual's representative;

PROVISION OF VOCATIONAL REHABILITATION SERVICES

The commissioner may not delegate responsibility to make any final
decision to any other officer or employee of the Department of Rehabilitative
Services; and

12. Except for the time limitations established in subdivisions 1 and 5 of this subsection, each state's review procedures may provide for reasonable time extensions for good cause shown at the request of a party or at the request of both parties.

E. ____ Selection of impartial hearing officers. Except as provided in subsection F of this section, the The impartial hearing officer for a particular case must be selected (i) from among the pool of persons qualified to be an impartial hearing officer, as defined in 34 CFR 361.5(b)(22) and 29 USC §722(b) and (d), who are identified jointly by the Department of Rehabilitative Services and those members of the State Rehabilitation Advisory Council designated in §102(d)(2)(C) of the Act (29 USC §722(b) and (d)) and (ii) on a random basis.

F.____ Informing affected individuals. The department shall inform, through appropriate modes of communication, all applicants and eligible individuals of:

PROVISION OF VOCATIONAL REHABILITATION SERVICES

1	Their right to	review unde	r this section,	including the	names and
addresse	es of individual	s with whor	n appeals ma	y be filed; and	i

2. ____The manner in which an impartial hearing officer will be selected consistent with the requirements of subsection E of this section.

22VAC30-20-190. Protection, use, and release of personal information.

- A. Purpose. The purpose is to establish policies and procedures for the proper dissemination of information in accordance with the statutes of the Code of Virginia, Virginia Freedom of Information Act, and Virginia Privacy Protection Act. Hereafter clients shall be referred to as data subjects.
- B. Application. This applies to all employees of the department, consultants, affiliates and volunteers.
- C. Policies. The department shall:
 - 1. Comply with state statutes when releasing any information regarding data subjects by:

- a. Disclosing information or records to the data subject who is 18
 years old, except:
 - (1) If data subject has been legally declared as incompetent then the right to access information has been granted to the individual or committee which has been appointed as guardian, authorized agent or agents, or representative or representatives.
 - (2) When the treating physician has written on a mental or medical record: "In my opinion a review of such records by the data subject would be injurious to the data subject's physical or mental health or well being." This does not preclude access to that report by authorized agents or representatives. The treating physician is the only professional who, by statute, has the authority to label and deny access to a mental record by the data subject. Access to other information is not restricted.
- Disclosing information or records only to the parent or guardian
 for the data subject who is under 18 years old.

- 2. Follow procedures which ensure that all records and other personal, identifying data are treated as confidential information, meaning that other than regular access authority and the exceptions which are permitted by code and statutes, no expressed personal or documented information shall be released to a third party without the written, informed consent of the data subject or his authorized agent or by court order;
- 3. Obtain and document only that information which is necessary to plan and deliver rehabilitation services;
- 4. Maintain and post the department's access list which designates staff positions of those who have the privilege of reviewing and checking out records;
- 5. Assign to all individuals as defined in subsection B and acknowledge written requests for information which are identified and occur after a data subject's application for services;
- 6. Charge for copies of information unless the request is from those who need information to assist data subject in the rehabilitation program. The rate shall be \$.15 per page or the actual cost, whichever is less; and

- 7. Keep records in offices unless in accordance with a court order, statute, or by special authorization from the department representative.
- D. Procedures for disclosing information.
 - 1. Handling disclosures.
 - a. Each request to disclose information shall be handled during normal business hours.
 - b. Each written request shall be responded to within 14 working days.
 - c. Before an employee releases information to a person or organization other than those identified on the access list, written, informed consent must be given by data subject or the authorized agent. When there is need to release information regarding data subjects, informed consent forms should be initiated through the data subject's counselor. Forms are completed prior to releasing information and filed in data subject's record.

- d. Any employee who releases information after informed consent is obtained must document data subject's record with employee's name, date, the purpose for giving specific information, and to whom information was given. These statements are also documented when the record has been reviewed by or copied for the data subject.
- 2. Accessing information for specific situations.
 - a. A data subject's request to review personal record.
 - (1) When a data subject requests a review of their case records, the individual should be referred to their counselor, or in his absence, the counselor's supervisor. This employee is responsible for confirming the data subject's age, and competency status to access information in his own behalf.
 - (2) For those data subjects who are under age 18 or who have been declared incompetent, the department shall explain right to access and assist data subject by coordinating the desired review with parent or authorized agent.

- (3) For data subjects who have the right to access information, the department should obtain the case record and review contents to learn if there are any mental records which a treating physician has identified as not to be reviewed. These are the only reports which can and must be removed before access.
- (4) The department gives data subject their case record and is available throughout the review to interpret reports or to assist the data subject, who may wish to seek additional information regarding contents. The data subject may choose to review their case record without interpretation.
- b. Access by parents, guardians, or authorized agents.
 - (1) When a data subject is a minor or has been legally declared as incompetent, the parent, guardian, or authorized agent, is expected to furnish personal identification and sign a statement regarding their relationship to data subject.
 - (2) When a data subject is 18 years or older and there is a parent who wants to review information or accompany data subject

PROVISION OF VOCATIONAL REHABILITATION SERVICES

to a data subject oriented meeting, the data subject shall sign an "Authorization for Release of Information," form prior to disclosure.

- c. Access by "significant others" (other family members or friends).
 - (1) When a data subject is a minor or has been legally declared as incompetent, the parent, guardian, or authorized agent, shall give written, informed consent prior to disclosure.
 - (2) When a data subject is 18 years or older, he shall give written, informed consent prior to disclosure.
- d. Access by third parties.
 - (1) Unless required by law, or this department, no disclosure shall be made to third parties without written, informed consent from the data subject or the legally authorized agent. Upon disclosure, third parties shall be advised to maintain confidentiality with no redisclosure of information.

- (2) The following information is either required by law or permitted by mission of the agency and shall be disclosed without the data subject's authorization:
 - (a) Within the department, employees shall be given information which is relevant to case management or research requirements.
 - (b) The department's medical consultants may release information to another physician for consultation or hospitalization purposes.
 - (c) For emergencies:
 - (1) Telephone and face-to-face disclosure may be made to any person for an emergency when it is reasonable to believe that a delay shall result in serious bodily injury, death or deterioration of the physical or mental condition of data subject.

 Examples: (i) an emergency admission or commitment to a hospital; (ii) an inquiry from an

VIRGINIA DEPARTMENT OF REHABILITATIVE SERVICES PROVISION OF VOCATIONAL REHABILITATION SERVICES

acute care hospital, data is limited to answers for specific information from the data subject's case record; and (iii) an inquiry by law-enforcement officials regarding an emergency situation.

Information is limited to that which is necessary to deal with the emergency.

- (2) When it becomes necessary to release information in these circumstances, the responsible department party shall enter the following in the data subject's case record: (i) the date the information was released; (ii) the person to whom information was released; (iii) the reason the information was released; (iv) the reason written, informed consent could not be obtained; and (v) the specific information which was released.
- (d) For court orders and subpoena, all requests for information by court orders shall be processed by the data subject's counselor unless there is some question about the need for legal advice. In those situations, the department

PROVISION OF VOCATIONAL REHABILITATION SERVICES

representative shall decide if contact needs to be made with the department representative in the Attorney General's

office prior to compliance. This contact shall be made by

the commissioner's designee.

- (e) The Virginia Department of Social Services shall be given, upon request, information about the location, income, and property of data subjects who have abandoned, deserted, or failed to support children and their caretakers who are receiving public assistance. No other information may be released.
- (f) The Virginia Department of Health shall be given access to medical records in the course of an investigation, research, or studies of diseases or deaths which are of public health importance.
- (g) The Virginia Department of Health may be provided with abstracts of records of data subjects having malignant tumors or cancers. Such abstracts may include

PROVISION OF VOCATIONAL REHABILITATION SERVICES

the name, address, sex, race, and any other medical information required by law.

- (h) Information may be released as requested for a formal investigation to the Virginia Department of Health, State Medical Examiner.
- e. Access by special interest third parties.
 - (1) Release of information shall include a written, informed consent.
 - (2) Except for public events, no data other than directory information shall be released to the news media without the written, informed consent of the data subject or the authorized agent.
 - (3) No information shall be released to law-enforcement officers without the written, informed consent of the data subject or the authorized agent, or without judicial order.

- (4) Audio tapes, video tapes, computerized data or other media reproduction are considered as confidential records and shall be treated like written material.
- E. Procedure for changing a record.
 - 1. Revoking an authorization of consent.
 - a. If anyone, such as an attorney, has a data subject sign an authorization which rescinds all prior authorizations, this negates all previous authorizations. The department shall make this a part of the case record.
 - b. When the revocation clause appears in the record, the department no longer has the authority to disseminate additional information other than to those on the regulation department access list.
 - c. If the data subject is currently a client, their counselor shall record any authorization which includes a revocation clause. This means that all routines for forwarding reports to those not on department's access list shall be stopped.

- d. The rehabilitation counselor shall notify WWRC counselor or sponsor of the situation and inform the data subject of the restriction.
- e. The department shall acknowledge and comply with the attorney's request for information. A separate letter shall also advise the attorney that this clause denies access of information to persons or organizations which are responsible for continuing rehabilitation services. The department shall advise attorney of the need to be provided with an additional statement which reinstates communication and correspondence.
- 2. Reinstating consent. When a satisfactory reinstatement statement and new consent is received from the attorney and the data subject, the department shall file the additional authorization and inform appropriate department counterparts about the new release.
- 3. Challenging and correcting a record by the data subject or agent.
 - a. The data subject or agent has a right to contest the accuracy or completeness of any personal record, except access to challenging or

PROVISION OF VOCATIONAL REHABILITATION SERVICES

correcting a treating physician's mental record which has been identified as not to be reviewed by the data subject.

- b. Data subjects who are currently clients shall be instructed by their counselor that any request to correct, amend, or delete information is to be done in writing, giving specific reasons why information is being contested.
- The counselor shall submit this statement to their immediate supervisor.
- d. Supervisor shall interview staff, as necessary, examine pertinent records and submit a written recommendation to their regional or center director. This recommendation is to include a statement and rationale to either uphold or to change existing records.
- e. When the regional or center director determines that information which is being disputed is, in fact, incomplete, inaccurate, not pertinent, untimely, or unnecessary to be retained, that individual shall instruct the original writer to amend the report in question. If the originator is no longer an employee, the regional or center director or a designee shall

PROVISION OF VOCATIONAL REHABILITATION SERVICES

prepare the amended report. A copy of the amended report shall be sent to the local office for the client's file.

- f. The department shall disseminate the amended version of the report to any previous recipients and as part of the record for all further requests for information.
- g. The department shall notify the data subject in writing of the decision. A copy of that notice is to be filed in data subject's local office file.
- h. If the investigation does not change the record or resolve the dispute, the data subject may file a statement stating what he believes to be an accurate or complete version of that information. This statement becomes a permanent part of the record. The department shall forward a copy to all previous recipients who have access to the information being disputed.
- F. Procedures of safeguarding records.
 - 1. Maintaining security of records.

- a. Data subject records are the property of the department and are entrusted to personnel who safeguard records from loss, defacement, or use by unauthorized persons.
- b. No record is to be defaced by marking, underlining, or entering notations by anyone other than the originator of any document.
- c. When a record is requested, either by court or a directive from the commissioner, a certified copy of the record shall be provided by the counselor.
- d. Whoever removes records has the responsibility to assure confidentiality of content while it is out. It must never be left unattended in areas which are accessible to unauthorized individuals.
- e. Confidentiality shall be maintained in work areas where casework documents are being prepared, filed, or distributed.
- 2. Violating confidentiality. Individuals who violate security standards or the confidentiality code by releasing information without obtaining or following

PROVISION OF VOCATIONAL REHABILITATION SERVICES

procedures may be subject to their name being removed from the access list and to discipline under the standards of conduct.

- G. Department's access list. The following have been approved to have access to the case records of clients served by the department:
 - Administrative and supervisory staff engaged in dutiful performance of their job which requires access to individual client files;
 - 2. Service delivery personnel including, but not limited to, rehabilitation counselors, vocational evaluators, or psychiatrists; and
 - 3. Clerical personnel as appropriate.

22 VA C 30-20-200. Periodic rReview of extended employment-in rehabilitation facilities.

A. Periodic The state unit shall review and reevaluation reevaluate shall be conducted at least annually, of the status of those clients each individual who have been placed determined by the state unit to have achieved an employment outcome in an extended employment setting in a community rehabilitation facilities program or other employment setting

Page 120 of 121

VIRGINIA DEPARTMENT OF REHABILITATIVE SERVICES

PROVISION OF VOCATIONAL REHABILITATION SERVICES

in which the individual is compensated in accordance with section 14(c) of the Fair Labor

Standards Act. This review or reevaluation must include input from the individual or, in an appropriate case, the individual's representative, to determine the interests, priorities, and needs of the individual for feasibility of their employment in an integrated setting or their training for future employment in the competitive labor market.

B. The state unit shall make Maximum maximum effort shall be made, including the identification of vocational rehabilitation services, reasonable accommodations, and other support services, to place these clients to enable the eligible individual to benefit from in competitive employment or training in, or to be placed in for competitive employment in an integrated setting, whenever feasible.

C. The state unit shall provide services designed to promote movement from extended employment to integrated employment, including supported employment, independent living, and community participation.

Cross references:

22 VAC 30-20-130

FORMS

Authorization for Release of Information.

PROVISION OF VOCATIONAL REHABILITATION SERVICES

I certify that this regulation is full, true, and correctly dated

H. Gray Broughton, CRC, CCM	
Commissioner	
Department of Rehabilitative Servi	ices